S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY
11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

COMMISSION WORKSHOP/MEETING
TUESDAY, JUNE 4, 2013 – 9:30 A.M.

AGENDA

1. **CALL TO ORDER/PLEDGE OF ALLEGIANCE** **(Phil Anthony, Chair)**

2. **ROLL CALL**

3. **PUBLIC COMMENTS**

Members of the public may address the Commission on any item that is within the jurisdiction of the Commission; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) Section 54954.2 of the Government Code.

4. **WORKSHOP DISCUSSION AGENDA**

   A. **LEGISLATIVE UPDATE**
   Presenter: Michael Boccadoro, The Dolphin Group
   Recommendation: Receive and file.

   B. **IMPLEMENTING ENERGY EFFICIENCY MEASURES WITH THE ENERGY NETWORK'S WATER/ENERGY NEXUS PROJECT**
   Presenter: James Ferro, The Energy Coalition
   Recommendation: Receive and file

   C. **FOLLOW-UP DISCUSSION: BRINE LINE TO SALTON SEA**
   Presenter: Celeste Cantú
   Recommendation: Provide direction to staff.

5. **NEW BUSINESS**

   A. **THE DOLPHIN GROUP TASK ORDER RENEWAL (CM#8765)**…….....17
   Presenter: Jeff Beehler
   Recommendation: Authorize the General Manager to execute Task Order No. TDGI1000-16 with The Dolphin Group in the amount of $115,000 for continued consulting services for State legislative, regulatory, and government relations through Fiscal Year 2015-16.

   B. **INLAND EMPIRE BRINE LINE REPAIRS TO UNLINED RCP, REACHES IV-A AND IV-B – CONSTRUCTION MANAGEMENT SERVICES CHANGE ORDER REQUEST (CM#8763)**…….....21
   Presenter: David Ruhl
   Recommendation: Authorize the General Manager to approve Change Order No. 1 to Task Order No. RBF323-08 with RBF Consulting in an amount not-to-exceed $111,726, for a total contract amount of $959,826.

C. **INLAND EMPIRE BRINE LINE DATA MANAGEMENT SYSTEM REQUEST FOR PROPOSAL (CM#8764)**.....32
Presenter: Rich Haller
Recommendation: Authorize release of a Request for Proposal for commercial off-the-shelf software to manage the Inland Empire Brine Line Pretreatment Program information and data.

D. **BRINE LINE VEHICLE REPLACEMENT (CM#8766)**.....60
Presenter: Carlos Quintero
Recommendation: Authorize the General Manager to replace the 2012 GMC Hybrid Pick-up Truck under the GMC Buy Back Program.

6. OLD BUSINESS

None

7. CONSENT CALENDAR

All matters listed in the Consent Calendar are considered routine and non-controversial and will be acted upon by the Committee by one motion in the form listed below. There will be no separate discussion on the items prior to the time the Committee votes, unless Committee members, staff, or the public requests that specific items be discussed and/or removed from the Consent Calendar for separate action.

A. **APPROVAL OF THE MINUTES FROM THE MEETING HELD ON 5-21-13**.....62
Recommendation: Approve as mailed.

B. **TREASURER'S REPORT – APRIL 2013**.....68
Recommendation: Approve as mailed.

8. SPECIAL RECOGNITION – ACWA LEADERSHIP AWARD – JOHN ROSSI

Presenter: Celeste Cantú

9. INFORMATIONAL REPORTS

Recommendation: Receive and file the following oral/written reports/updates.

A. **SAWPA PRETREATMENT PROGRAM UPDATE**
Presenter: Rich Haller

B. **BRINE LINE TO THE SALTON SEA UPDATE**
Presenter: Jeff Beehler

C. **OWOW UPDATE**
Presenter: Jeff Beehler

D. **GENERAL MANAGER'S REPORT**

E. **CHAIR'S COMMENTS/REPORT**

F. **COMMISSIONERS' COMMENTS**

10. CLOSED SESSION

A. PURSUANT TO GOVERNMENT CODE SECTION 54956.9 (a) CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION

- Castle and Cook, Case No. RIC 495874
- OCSD Arbitration

11. ADJOURNMENT

Any person with a disability who requires accommodation in order to participate in this meeting should contact Commission Secretary Patti Bonawitz at 951.354.4230, at least 48 hours prior to the meeting to make a request for a disability-related modification or accommodation.

PLEASE NOTE:
Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection in the Authority's office located at 11615 Sterling Avenue, Riverside, during normal business hours. Also, such documents are available on the Authority's Website at www.sawpa.org, subject to staff's ability to post documents before the meeting.

Declaration of Posting
I, Patti Bonawitz, Clerk of the Board of the Santa Ana Watershed Project Authority certify that a copy of this agenda has been posted in the Agency's office at 11615 Sterling Avenue, Riverside, California by 5:30 p.m. on Wednesday May 29, 2013.

Patti Bonawitz

2013 - SAWPA Commission Upcoming Meetings/Events

June
6-18-13 Commission Meeting

July
7-2-13??? Commission Workshop
7-16-13 Commission Meeting

August
DARK??

September
9-3-13 Commission Workshop
9-17-13 Commission Meeting

October
10-1-13 Commission Workshop
10-15-13 Commission Meeting

November
11-5-13 Commission Workshop
11-19-13 Commission Meeting

December
DARK??
12-3/6-13 ACWA Fall Conference – Los Angeles

Legislative Status Report for SAWPA

AB 1 **(Alejo D) Water quality: integrated plan: Salinas Valley.**

Current Text: Amended: 4/3/2013 pdf html

Introduced: 12/3/2012

Last Amend: 4/3/2013

Status: 5/24/2013-In committee: Set, second hearing. Held under submission.

Location: 4/10/2013-A. APPR. SUSPENSE FILE

Summary: Would appropriate $2,000,000 to the State Water Resources Control Board for use by the Greater Monterey County Regional Water Management Group (management group) to develop an integrated plan to address the drinking water and wastewater needs of disadvantaged communities in the Salinas Valley whose waters have been affected by waste discharges, thereby making an appropriation. The bill would require the management group to consult with specified entities and to submit to the Legislature by January 1, 2016, the plan developed by the group. This bill contains other related provisions.

AB 21 **(Alejo D) Safe Drinking Water Small Community Emergency Grant Fund.**

Current Text: Amended: 2/14/2013 pdf html

Introduced: 12/3/2012

Last Amend: 2/14/2013

Status: 5/28/2013-Read third time. Passed. Ordered to the Senate.

Location: 5/28/2013-S. SENATE

Summary: Would authorize the Department of Public Health to assess a specified annual charge in lieu of interest on loans for water projects made pursuant to the Safe Drinking Water State Revolving Fund, and deposit that money into the Safe Drinking Water Small Community Emergency Grant Fund, which the bill would create in the State Treasury. The bill would authorize the department to expend the money for grants for specified water projects that serve disadvantaged and severely disadvantaged communities, thereby making an appropriation.

AB 30 **(Perea D) Water quality.**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 12/3/2012

Last Amend: 5/24/2013

Status: 5/28/2013-Read second time. Ordered to third reading.

Location: 5/28/2013-A. THIRD READING

Calendar: 5/29/2013 #132 ASSEMBLY ASSEMBLY THIRD READING FILE

Summary: Current law authorizes the State Water Resources Control Board, until 2014, to assess a specified annual charge in connection with any financial assistance made pursuant to the revolving fund program in lieu of interest that otherwise would be charged and requires the proceeds generated from the imposition of that charge to be deposited in the State Water Pollution Control Revolving Fund Small Community Grant Fund, along with any interest earned upon the moneys in the grant fund. This bill would eliminate the requirement that the charge remain unchanged until 2014 and instead would authorize the board to assess the charge without change unless the board makes a prescribed determination; if, however, the refinancing repayment is incomplete, at which time the board would be required to replace the charge with an identical interest rate. This bill would eliminate the prohibition on the deposit of more than $50,000,000 collected by the charge into the grant fund.

AB 37 **(Perea D) Environmental quality: California Environmental Quality Act: record of proceedings.**

Current Text: Amended: 3/18/2013 pdf html

Introduced: 12/3/2012

Last Amend: 3/18/2013

Status: 5/28/2013-Read third time. Passed. Ordered to the Senate.

Location: 5/28/2013-S. SENATE

Summary: Would require, until January 1, 2017, for specified projects or upon the request of a project applicant and the consent of the lead agency, that the lead agency among other things, prepare a record of proceedings concurrently with the preparation of negative declarations, mitigated negative declarations, EIRs, or other environmental documents for specified projects. Because the bill would require , for specified projects, a lead agency to prepare the record of proceedings as provided, this bill would impose a state-mandated local program. This bill contains other related provisions and other existing laws.

AB 69 **(Perea D) Groundwater: Nitrate at Risk Area Fund.**

Current Text: Introduced: 1/10/2013 pdf html

Introduced: 1/10/2013

Status: 4/25/2013-Referred to Com. on E.Q.

Location: 4/25/2013-S. E.Q.

Summary: Would establish the Nitrate at Risk Area Fund, to be administered by the State Water Resources Control Board and, upon appropriation by the Legislature, moneys in the fund would be available for the purposes of developing and implementing sustainable and affordable solutions for disadvantaged communities in specified areas designated by the State Department of Public Health, in conjunction with the board. This bill contains other existing laws.

AB 71 **(V. Manuel Pérez D) Salton Sea restoration.**

Current Text: Amended: 4/18/2013 pdf html

Introduced: 1/10/2013

Last Amend: 4/18/2013

Status: 5/9/2013-Referred to Com. on N.R. & W.

Location: 5/9/2013-S. N.R. & W.

Summary: Would require the Secretary of the Natural Resources Agency, in consultation and coordination with the Salton Sea Authority, to lead Salton Sea restoration efforts. This bill would require the secretary, in consultation and coordination with the authority, to form a technical advisory group, as prescribed, to provide guidance about evaluating, developing, or proposing future restoration or economic development activities. By imposing duties on a local joint powers authority, the bill would impose a state-mandated local program. This bill contains other related provisions and other existing laws.

AB 72 **(Holden D) Municipal water district: board of directors.**

Current Text: Enrollment: 5/24/2013 pdf html

Introduced: 1/10/2013

Last Amend: 3/19/2013

Status: 5/24/2013-In Assembly. Ordered to Engrossing and Enrolling.

Location: 5/24/2013-A. ENROLLMENT

Summary: The Municipal Water District Law of 1911 provides for the formation of a municipal water district and the election of a board of directors of a municipal water district. Current law requires directors, except directors elected at the district formation election, to take office on the first Monday after January 1 succeeding their election. This bill would instead require the directors of a municipal water district, except directors elected at a district formation election, to take office on the first Friday in December succeeding their election.

AB 115 **(Perea D) Safe Drinking Water State Revolving Fund.**

Current Text: Introduced: 1/14/2013 pdf html

Introduced: 1/14/2013

Status: 5/2/2013-Referred to Com. on E.Q.

Location: 5/2/2013-S. E.Q.

Summary: Would authorize the State Department of Public Health to fund projects, by grant, loan, or a combination of the two, where multiple water systems apply for funding as a single applicant for the purpose of consolidating water systems or extending services to households relying on private wells, as specified. The bill would authorize funding of a project to benefit a disadvantaged community that is not the applying agency. By authorizing the use of a continuously appropriated fund for new purposes, this bill would make an appropriation. This bill contains other existing laws.

AB 118 **(Committee on Environmental Safety and Toxic Materi) Safe Drinking Water State Revolving Fund.**

Current Text: Introduced: 1/14/2013 pdf html

Introduced: 1/14/2013

Status: 5/28/2013-Read third time. Passed. Ordered to the Senate.

Location: 5/28/2013-S. SENATE

Summary: Would authorize the State Department of Public Health to adopt interim regulations for purposes of implementing provisions relating to the Safe Drinking Water State Revolving Fund. The bill would require an applicant for funding to demonstrate that it has the technical, managerial, and financial capacity to operate and maintain its water system for at least 20 years, as specified. This bill contains other related provisions and other existing laws.

AB 119 **(Committee on Environmental Safety and Toxic Materi) Water treatment devices.**

Current Text: Amended: 4/16/2013 pdf html

Introduced: 1/14/2013

Last Amend: 4/16/2013

Status: 5/23/2013-Referred to Coms. on HEALTH and E.Q.

Location: 5/23/2013-S. HEALTH

Summary: Would require each manufacturer that offers for sale in California a water treatment device for which it makes a health or safety claim, as defined, to submit specified information, including the manufacturer's contact information, product identification information, and the specific contaminant claimed to be removed or reduced by the device, to the department for purposes of inclusion on the department's Internet Web site. This bill would also require each manufacturer to pay a reasonable regulatory fee to pay for the cost of publishing information on the department's Internet Web site and for conducting enforcement actions. This bill contains other related provisions.

AB 142 **(Committee on Water, Parks and Wildlife) Water resources: infrastructure.**

Current Text: Amended: 5/6/2013 pdf html

Introduced: 1/17/2013

Last Amend: 5/6/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. on 5/7/2013)

Location: 5/24/2013-A. 2 YEAR

Summary: Current law establishes the Department of Water Resources in the Natural Resources Agency, and, among other things, empowers the department to conduct investigations of all or any portion of any stream, stream system, lake, or other body of water. This bill would require the Department of Water Resources to initiate and complete a comprehensive study of California's state and local water supply infrastructure needs and provide a report to the Legislature by July 1, 2014, that summarizes those findings.

AB 145 **(Perea D) State Water Resources Control Board: drinking water.**

Current Text: Amended: 4/24/2013 pdf html

Introduced: 1/18/2013

Last Amend: 4/24/2013

Status: 5/28/2013-Read third time. Passed. Ordered to the Senate.

Location: 5/28/2013-S. SENATE

Summary: Would transfer to the State Water Resources Control Board the various duties and responsibilities imposed on the department by the California Safe Drinking Water Act. The bill would require these provisions to be implemented during the 2014-15 fiscal year. This bill contains other related provisions and other existing laws.

AB 183 **(Dickinson D) Delta Protection Commission: executive director: comprehensive long-term resource management plan: determination of consistency.**

Current Text: Introduced: 1/28/2013 pdf html

Introduced: 1/28/2013

Status: 5/3/2013-Failed Deadline pursuant to Rule 61(a)(2). (Last location was W.,P. & W. on 2/15/2013)

Location: 5/3/2013-A. 2 YEAR

Summary: Existing law, the Johnston-Baker-Andal-Boatwright Delta Protection Act of 1992, requires the Delta Protection Commission to review and maintain a comprehensive long-term resource management plan for land uses within the primary zone, as defined, of the Delta. This bill would require the executive director to determine a discretionary project located in the primary zone to be consistent with the resource management plan provided that the project satisfies at least 4 of 5 specified criteria.

AB 195 **(Hall D) Counties: construction projects: design-build.**

Current Text: Amended: 5/20/2013 pdf html

Introduced: 1/28/2013

Last Amend: 5/20/2013

Status: 5/24/2013-In Senate. Read first time. To Com. on RLS. for assignment.

Location: 5/24/2013-S. RLS.

Summary: Current law, until July 1, 2014, authorizes counties to use alternative procedures, known as design-build, for bidding on specified types of construction projects in the county in excess of $2,500,000, in accordance with specified procedures. This bill would extend these provisions until July 1, 2016. Because the additionally authorized projects would require payment of fees into the State Public Works Enforcement Fund, a continuously appropriated fund, it would make an appropriation. This bill contains other related provisions and other existing laws.

AB 240 **(Rendon D) Mutual water companies.**

Current Text: Introduced: 2/5/2013 pdf html

Introduced: 2/5/2013

Status: 5/9/2013-Referred to Coms. on GOV. & F. and N.R. & W.

Location: 5/9/2013-S. G. & F.

Calendar: 6/12/2013 9:30 a.m. - Room 112 SENATE GOVERNANCE AND FINANCE, WOLK, Chair

Summary: Would declare the intent of the Legislature to encourage collaboration among mutual water companies that operate public water systems in the City of Maywood to create a public agency that can consolidate drinking water services for the people and business of that city.

AB 295 **(Committee on Water, Parks and Wildlife) Water: water supply: infrastructure.**

Current Text: Amended: 5/6/2013 pdf html

Introduced: 2/11/2013

Last Amend: 5/6/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. on 5/7/2013)

Location: 5/24/2013-A. 2 YEAR

Summary: Would establish findings and declarations stating that the November 2014 ballot currently includes a bond measure for $11.14 billion to fund projects related to water, that many Californians lack access to clean, safe, and affordable drinking water, and that it is in the general public interest to pass a general obligation bond to help fund projects that address the critical and immediate needs of disadvantaged, rural, or small communities and projects that leverage state and federal drinking water quality and wastewater treatment funds.

AB 378 **(Hueso D) Resources: Delta research.**

Current Text: Introduced: 2/14/2013 pdf html

Introduced: 2/14/2013

Status: 5/3/2013-Failed Deadline pursuant to Rule 61(a)(2). (Last location was A. & A.R. on 3/7/2013)

Location: 5/3/2013-A. 2 YEAR

Summary: Would require a person conducting Delta research, as defined, whose research is funded, in whole or in part, by the state, to take specified actions with regard to the sharing of the primary data, samples, physical collections, and other supporting materials created or gathered in the course of that research. The bill would authorize the Delta Independent Science Board to adopt guidelines to provide adjustments to, and, where essential, exceptions from, these requirements and would exempt the adoption of these guidelines from the procedural requirements for the adoption of regulations. This bill contains other related provisions.

AB 380 **(Dickinson D) California Environmental Quality Act: notice requirements**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 2/14/2013

Last Amend: 5/24/2013

Status: 5/28/2013-Read second time. Ordered to third reading.

Location: 5/28/2013-A. THIRD READING

Calendar: 5/29/2013 #161 ASSEMBLY ASSEMBLY THIRD READING FILE

Summary: Would require an environmental impact report (EIR) and a mitigated negative declaration on a project to be filed with both the Office of Planning and Research and the county clerk and be posted by the county clerk for public review. The bill would require the county clerk to post the notices within one business day, as defined, of receipt and stamp on the notice the date on which the notices were actually posted. By expanding the services provided by the lead agency and the county clerk, this bill would impose a state-mandated local program. This bill contains other related provisions and other existing laws.

AB 426 **(Salas D) Water: water transfers: water right decrees.**

Current Text: Amended: 4/23/2013 pdf html

Introduced: 2/15/2013

Last Amend: 4/23/2013

Status: 5/23/2013-In Senate. Read first time. To Com. on RLS. for assignment.

Location: 5/23/2013-S. RLS.

Summary: Under current law, any water right determined under a court decree issued after January 1, 1981, is transferable as specified. This bill would eliminate the requirement that a court decree be issued after January 1, 1981.

AB 515 **(Dickinson D) Environmental quality: California Environmental Quality Act: judicial review.**

Current Text: Amended: 3/11/2013 pdf html

Introduced: 2/20/2013

Last Amend: 3/11/2013

Status: 5/3/2013-Failed Deadline pursuant to Rule 61(a)(2). (Last location was JUD. on 3/12/2013)

Location: 5/3/2013-A. 2 YEAR

Summary: Would establish a CEQA compliance division of the superior court in a county in which the Attorney General maintains an office and would vest the division with original jurisdiction over actions of proceedings brought pursuant to CEQA and joined matters related to land use and environmental laws. The bill would require the Judicial Council to adopt rules for establishing, among other things, protocol to govern the administration and efficient operation of the division , so that those judges assigned to the division will be able to hear and quickly resolve those actions or proceedings. This bill contains other existing laws.

AB 613 **(Hueso D) Water: water reclamation.**

Current Text: Introduced: 2/20/2013 pdf html

Introduced: 2/20/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was PRINT on 2/20/2013)

Location: 5/10/2013-A. 2 YEAR

Summary: The Water Recycling Law provides that a person recycling water or using recycled water in violation of specific provisions, after the violation has been called to the attention of that person in writing by the regional board, is guilty of a misdemeanor, as specified. This bill would make technical, nonsubstantive changes to the provision.

AB 793 **(Gray D) Renewable energy: publicly owned electric utility: hydroelectric generation facility.**

Current Text: Amended: 5/13/2013 pdf html

Introduced: 2/21/2013

Last Amend: 5/13/2013

Status: 5/23/2013-In Senate. Read first time. To Com. on RLS. for assignment.

Location: 5/23/2013-S. RLS.

Summary: Would provide that a local publicly owned electric utility is not required to procure additional eligible renewable energy resources in excess of specified levels, if it receives 50% or greater of its annual retail sales from its own hydrodelectric generation meeting specified requirements .

AB 803 **(Gomez D) Water Recycling Act of 2013.**

Current Text: Amended: 4/22/2013 pdf html

Introduced: 2/21/2013

Last Amend: 4/22/2013

Status: 5/24/2013-From committee: Do pass. To consent calendar. (Ayes 17. Noes 0.) (May 24). Read second time. Ordered to consent calendar.

Location: 5/24/2013-A. CONSENT CALENDAR

Calendar: 5/29/2013 #246 ASSEMBLY CONSENT CALENDAR-SECOND LEGISLATIVE DAY ASSEMBLY MEASURES

Summary: Current law requires the State Department of Public Health to establish uniform statewide recycling criteria for each varying type of use of recycled water where the use involves the protection of public health. Current regulations prescribe various requirements and prohibitions relating to recycled This bill , the Water Recycling Act of 2013, would provide that this notification requirement does not apply to an unauthorized discharge of effluent of treated sewage defined as recycled water, as defined. This bill contains other related provisions and other current laws.

AB 850 **(Nazarian D) Public capital facilities: water quality.**

Current Text: Amended: 4/15/2013 pdf html

Introduced: 2/21/2013

Last Amend: 4/15/2013

Status: 5/23/2013-Referred to Com. on GOV. & F.

Location: 5/23/2013-S. G. & F.

Calendar: 6/12/2013 9:30 a.m. - Room 112 SENATE GOVERNANCE AND FINANCE, WOLK, Chair

Summary: Would authorize a joint powers authority , upon the application of a local agency that owns and operates a publicly owned utility, as defined, to issue rate reduction bonds to finance a utility project, as defined, under specified circumstances. The bill would provide that the rate reduction bonds are secured by utility project property, as defined. The bill would authorize the authority to impose on, and collect from, customers of the publicly owned utility a utility project charge, as a separate nonbypassable charge, to finance the rate reduction bond.

AB 953 **(Ammiano D) California Environmental Quality Act.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/13/2013-Read second time. Ordered to third reading.

Location: 5/13/2013-A. THIRD READING

Calendar: 5/29/2013 #32 ASSEMBLY ASSEMBLY THIRD READING FILE

Summary: Would require the lead agency to include in the environmental impact report (EIR) a detailed statement on any significant effects that may result from locating the proposed project near, or attracting people to, existing or reasonably foreseeable natural hazards or adverse environmental conditions. Because the lead agency would be required to undertake this additional consideration, this bill would impose a state-mandated local program.

AB 1043 **(Chau D) Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006: groundwater contamination.**

Current Text: Amended: 4/16/2013 pdf html

Introduced: 2/22/2013

Last Amend: 4/16/2013

Status: 5/24/2013-From committee: Do pass. (Ayes 17. Noes 0.) (May 24). Read second time. Ordered to third reading.

Location: 5/24/2013-A. THIRD READING

Calendar: 5/29/2013 #96 ASSEMBLY ASSEMBLY THIRD READING FILE

Summary: Would eliminate the requirement to develop and adopt regulations and instead would require a grantee of certain initiative bond act funds to take specific actions to recover the costs of cleanup and to utilize those funds for certain groundwater contamination cleanup projects, as specified. The bill would require the grantee, before expending the funds recovered from a responsible party, as defined, to submit an expenditure plan to DTSC for its review.

AB 1078 **(Quirk D) Water: water recycling technology.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was PRINT on 2/22/2013)

Location: 5/10/2013-A. 2 YEAR

Summary: Current law provides that the Department of Water Resources operates the State Water Project and exercises specified water planning functions. Current law also requires the department to update The California Water Plan, which is a plan for the conservation, development, and use of the water resources of the state. Under current law, various provisions regulate water recycling. This bill would state the intent of the Legislature to enact legislation to encourage the creation of new technologies to further the use of recycled water in the state.

AB 1200 **(Levine D) Recycled water: agricultural irrigation impoundments: pilot project.**

Current Text: Amended: 5/22/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/22/2013

Status: 5/24/2013-In Senate. Read first time. To Com. on RLS. for assignment.

Location: 5/24/2013-S. RLS.

Summary: Would, before October 1, 2014, require the San Francisco Bay Regional Water Quality Board to authorize a voluntary pilot project for the purposes of investigating potential water quality impacts associated with maximizing the supplementation of agricultural irrigation impoundments with disinfected tertiary treated recycled water, if the regional board finds that the proposed pilot project satisfies specified criteria. This bill would require the pilot project to include a stakeholder advisory group, composed as prescribed, to review and provide input on pilot project design, implementation, and data analysis. This bill would repeal these provisions on January 1, 2018.

AB 1223 **(Stone D) Safe drinking water act.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was PRINT on 2/22/2013)

Location: 5/10/2013-A. 2 YEAR

Summary: Current law finds and declares, among other things, that over 95% of all large public water systems in California are in compliance with health-based action levels established by the State Department of Public Health for various contaminants, and that it is the intent of the legislature to establish a drinking water regulatory program to provide for the orderly and efficient delivery of safe drinking water within the state and to give the establishment of drinking water standards and public health goals greater emphasis and visibility. This bill would make technical, nonsubstantive changes to the latter provisions.

AB 1249 **(Salas D) Public water systems: water supply assessments.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was PRINT on 2/22/2013)

Location: 5/10/2013-A. 2 YEAR

Summary: Current law requires a city or county that determines a project is subject to the California Environmental Quality Act to identify any public water system that may supply water for the project and to request those public water systems to prepare a specified water supply assessment. If no public water system is identified, the city or county is required to prepare the water supply assessment. This bill would make a technical, nonsubstantive change to this requirement.

AB 1251 **(Gorell R) Water quality: stormwater.**

Current Text: Amended: 4/10/2013 pdf html

Introduced: 2/22/2013

Last Amend: 4/10/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. SUSPENSE FILE on 5/16/2013)

Location: 5/24/2013-A. 2 YEAR

Summary: Would require the Secretary for Environmental Protection to convene a stormwater task force to review, plan, and coordinate stormwater-related activity to maximize regulatory effectiveness in reducing water pollution. The bill would require the task force to meet on a quarterly basis. This bill contains other related provisions.

AB 1259 **(Olsen R) Sacramento-San Joaquin Valley.**

Current Text: Amended: 3/21/2013 pdf html

Introduced: 2/22/2013

Last Amend: 3/21/2013

Status: 5/15/2013-Referred to Coms. on N.R. & W. and GOV. & F.

Location: 5/15/2013-S. N.R. & W.

Summary: Current law requires each city and county within the Sacramento-San Joaquin Valley, within 24 months of the adoption of the Central Valley Flood Protection Plan, to amend its general plan to include specified data, policies, and implementation measures. The city or county is also required, within 36 months of the adoption of the Central Valley Flood Protection Plan, but not more than 12 months after the amendment of its general plan, to amend its zoning ordinance to be consistent with the general plan, as amended. This bill would include, among the findings that exempt a city or county from the above-described prohibition and requirement, a finding that property in an undetermined risk area has met the urban level of flood protection based on substantial evidence in the record. This bill contains other existing laws.

AB 1301 **(Bloom D) Oil and gas: hydraulic fracturing.**

Current Text: Amended: 3/21/2013 pdf html

Introduced: 2/22/2013

Last Amend: 3/21/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. SUSPENSE FILE on 5/15/2013)

Location: 5/24/2013-A. 2 YEAR

Summary: Would define "hydraulic fracturing" and would prohibit hydraulic fracturing in oil and gas operations until the Legislature enacts subsequent legislation that determines whether and under what conditions hydraulic fracturing may be conducted while protecting the public health and safety and the natural resources of the state. This bill contains other related provisions and other existing laws.

AB 1331 **(Committee on Water, Parks and Wildlife) Water resources: assessments of public funding.**

Current Text: Amended: 5/21/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/21/2013

Status: 5/24/2013-From committee: Do pass. (Ayes 12. Noes 0.) . Read second time. Ordered to third reading.

Location: 5/24/2013-A. THIRD READING

Calendar: 5/29/2013 #121 ASSEMBLY ASSEMBLY THIRD READING FILE

Summary:

AB 1349 **(Gatto D) CalConserve Water Use Efficiency Revolving Fund.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/24/2013-In committee: Set, second hearing. Held under submission.

Location: 5/8/2013-A. APPR. SUSPENSE FILE

Summary: Would establish the CalConserve Water Use Efficiency Revolving Fund administered by the Department of Water Resources and would continuously appropriate moneys in the fund, without regard to fiscal year, to the department, for the purpose of water use efficiency projects. This bill would require moneys in the fund to be used for purposes that include, but are not limited to, at-or-below market interest rate loans and would permit the department to enter into agreements with local governments or investor-owned utilities that provide water or recycled water service to provide loans.

SB 40 **(Pavley D) Safe, Clean, and Reliable Drinking Water Supply Act of 2012.**

Current Text: Amended: 1/17/2013 pdf html

Introduced: 12/10/2012

Status: 1/31/2013-Re-referred to Coms. on N.R. & W. and RLS.

Location: 1/31/2013-S. N.R. & W.

Summary: Would change the name of the Safe, Clean, and Reliable Drinking Water Supply Act of 2012 to the Safe, Clean, and Reliable Drinking Water Supply Act of 2014 and declare the intent of the Legislature to amend the act for the purpose of reducing and potentially refocusing the $11,140,000,000 bond.

SB 42 **(Wolk D) The California Clean, Secure Water Supply and Delta Recovery Act of 2014.**

Current Text: Introduced: 12/11/2012 pdf html

Introduced: 12/11/2012

Status: 1/10/2013-Referred to Com. on N.R. & W.

Location: 1/10/2013-S. N.R. & W.

Summary: Current law creates the Safe, Clean, and Reliable Drinking Water Supply Act of 2012, which, if approved by the voters, would authorize the issuance of bonds in the amount of $11,140,000,000 pursuant to the State General Obligation Bond Law to finance a safe drinking water and water supply reliability program. Current law provides for the submission of the bond act to the voters at the November 4, 2014, statewide general election. This bill would repeal these provisions. This bill contains other related provisions and other current laws.

SB 123 **(Corbett D) Environmental and land use court.**

Current Text: Amended: 5/2/2013 pdf html

Introduced: 1/18/2013

Last Amend: 5/2/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. SUSPENSE FILE on 5/23/2013)

Location: 5/24/2013-S. 2 YEAR

Summary: Would, commencing July 1, 2014, require the Judicial Council to direct the creation of an environmental and land use division within 2 or more superior courts within each of the appellate districts of the state to process civil proceedings brought pursuant to the California Environmental Quality Act or in specified subject areas, including air quality, biological resources, climate change, hazards and hazardous materials, land use planning, and water quality. The bill would require the Judicial Council, by rule of court, to identify statutes in those specified areas that would be within the jurisdiction of the environmental and land use court division. This bill contains other related provisions and other existing laws.

SB 167 **(Gaines R) Environmental quality: California Environmental Quality Act.**

Current Text: Introduced: 2/4/2013 pdf html

Introduced: 2/4/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was RLS. on 2/14/2013)

Location: 5/10/2013-S. 2 YEAR

Summary: The California Environmental Quality Act (CEQA) requires a lead agency, as defined, to prepare, or cause to be prepared by contract, and certify the completion of, an environmental impact report on a project, as defined, that it proposes to carry out or approve that may have a significant effect on the environment, or to adopt a negative declaration if it finds that the project will not have that effect. This bill would make technical, nonsubstantive changes to those provisions.

SB 395 **(Jackson D) Hazardous waste: wells.**

Current Text: Amended: 5/28/2013 pdf html

Introduced: 2/20/2013

Last Amend: 5/28/2013

Status: 5/28/2013-Read second time and amended. Ordered to third reading.

Location: 5/28/2013-S. THIRD READING

Calendar: 5/29/2013 #128 SENATE SENATE BILLS-THIRD READING FILE

Summary: Would delete the exclusion of regulated wells from the definition of "injection well," thereby subjecting those wells to the requirements imposed upon injection wells. The bill would also require a generator of produced water to test the produced water in order to determine whether it is hazardous waste within the meaning of specified provisions. This bill contains other related provisions and other existing laws.

SB 429 **(Hernandez D) San Gabriel Basin Water Quality Authority Act.**

Current Text: Introduced: 2/21/2013 pdf html

Introduced: 2/21/2013

Status: 5/16/2013-Referred to Com. on E.S. & T.M.

Location: 5/16/2013-A. E.S. & T.M.

Summary: Would extend the July 1, 2017, date of repeal of the San Gabriel Basin Water Quality Authority Act to July 1, 2030, thereby imposing a state-mandated local program by extending the period of time in which the authority and other local public entities are required to carry out various duties under the act. This bill contains other related provisions and other existing laws.

SB 449 **(Galgiani D) Local water supply programs or projects: funding.**

Current Text: Amended: 4/18/2013 pdf html

Introduced: 2/21/2013

Last Amend: 4/18/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. SUSPENSE FILE on 5/23/2013)

Location: 5/24/2013-S. 2 YEAR

Summary: Would prohibit the Department of Water Resources from funding, through loans, grants, or direct expenditure, specific programs or projects within the service area of, or otherwise directly benefiting, an urban or agricultural water supplier that receives water from, transferred through, or used in the Delta watershed, unless the department determines that the water supplier is reducing its dependence on the Delta, as specified.

SB 570 **(DeSaulnier D) Public records: copy charges: retrieval.**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/24/2013

Status: 5/24/2013-Read second time and amended. Ordered to third reading.

Location: 5/24/2013-S. THIRD READING

Calendar: 5/29/2013 #60 SENATE SENATE BILLS-THIRD READING FILE

Summary: The California Public Records Act provides that any person may receive a copy of any identifiable public record from any state or local agency upon payment of fees covering direct costs of duplication or a statutory fee if applicable. This bill would prohibit a public agency from charging for copies of records available in portable digital format (PDF), or for a copy of data that is extracted from a database, if new programming is not required to extract the data.

SB 620 **(Wright D) Water replenishment districts.**

Current Text: Amended: 4/23/2013 pdf html

Introduced: 2/22/2013

Last Amend: 4/23/2013

Status: 4/23/2013-Read second time and amended. Ordered to third reading.

Location: 4/23/2013-S. THIRD READING

Calendar: 5/29/2013 #23 SENATE SENATE BILLS-THIRD READING FILE

Summary: The Water Replenishment District Act generally authorizes a water replenishment district to establish an annual reserve fund in an amount not to exceed $10,000,000 commencing with the 2000-01 fiscal year, and thereafter, as that amount is adjusted annually. The act requires a minimum of 80% of the reserve fund to be expended for water purchases. This bill , until the 2019-20 fiscal year, would eliminate the requirement that a minimum of 80% of the reserve fund be expended for water purchases. This bill contains other related provisions and other existing laws.

SB 658 **(Correa D) Orange County Water District Act: investigation, cleanup, and liability.**

Current Text: Amended: 5/15/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/15/2013

Status: 5/24/2013-Ordered to inactive file on request of Senator Correa.

Location: 5/24/2013-S. INACTIVE FILE

Summary: The Orange County Water District Act requires the person causing or threatening to cause the contamination or pollution to be liable to the Orange County Water District for reasonable costs actually incurred in cleaning up or containing the contamination or pollution, abating the effects of the contamination or pollution, or taking other remedial action. This bill would require the person also to be liable for the costs actually incurred in investigating the contamination or pollution. The bill would provide that these remedies are in addition to all other legal and equitable remedies available to the water district, including declaratory relief.

SB 731 **(Steinberg D) Environment: California Environmental Quality Act and sustainable communities strategy.**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/24/2013

Status: 5/24/2013-Read second time and amended. Ordered to third reading.

Location: 5/24/2013-S. THIRD READING

Calendar: 5/29/2013 #68 SENATE SENATE BILLS-THIRD READING FILE

Summary: Would provide that aesthetic impacts of a residential, mixed-use residential, or employment center project, as defined, within a transit priority area, as defined, shall not be considered significant impacts on the environment. The bill would require the office to prepare and propose, and the Secretary of the Natural Resources Agency to certify and adopt, revisions to the guidelines for the implementation of CEQA establishing thresholds of significance for noise, and for the transportation and parking impacts of residential, mixed-use residential, or employment center projects within transit priority areas. This bill contains other related provisions and other existing laws.

SB 735 **(Wolk D) Sacramento-San Joaquin Delta Reform Act of 2009: multispecies conservation plans.**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/24/2013

Status: 5/24/2013-Read second time and amended. Ordered to third reading.

Location: 5/24/2013-S. THIRD READING

Calendar: 5/29/2013 #69 SENATE SENATE BILLS-THIRD READING FILE

Summary: Would, on or before February 3, 2014, authorize prescribed local entities to enter into a memorandum of understanding or other written agreement with the Delta Stewardship Council and the Department of Fish and Wildlife regarding multispecies conservation plans, as defined, that describes, among other things, how the parties would ensure that multispecies conservation plans that have been adopted or are under development are consistent with the Delta Plan.

SB 750 **(Wolk D) Building standards: water meters: multiunit structures.**

Current Text: Amended: 5/24/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/24/2013

Status: 5/28/2013-Read second time. Ordered to third reading.

Location: 5/28/2013-S. THIRD READING

Calendar: 5/29/2013 #129 SENATE SENATE BILLS-THIRD READING FILE

Summary: Would require a water purveyor that provides water service to a newly constructed multiunit residential structure or newly constructed mixed-use residential and commercial structure that submits an application for a water connection after January 1, 2014, to require the installation of either a water meter, as defined, or a submeter, as defined, to measure water supplied to each individual dwelling unit. The bill would exempt certain buildings from these requirements. This bill contains other related provisions and other existing laws.

SB 754 **(Evans D) California Environmental Quality Act.**

Current Text: Amended: 5/6/2013 pdf html

Introduced: 2/22/2013

Last Amend: 5/6/2013

Status: 5/24/2013-Failed Deadline pursuant to Rule 61(a)(5). (Last location was APPR. SUSPENSE FILE on 5/23/2013)

Location: 5/24/2013-S. 2 YEAR

Summary: Would authorize a person meeting specified requirements to bring an action or proceeding to enforce the implementation of the mitigation measures specified in a reporting and monitoring program adopted pursuant to CEQA if a project applicant fails to implement those measures. This bill contains other related provisions and other existing laws.

SB 772 **(Emmerson R) Drinking water.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/3/2013-Failed Deadline pursuant to Rule 61(a)(2). (Last location was G. & F. on 3/11/2013)

Location: 5/3/2013-S. 2 YEAR

Summary: Would require the State Department of Public Health or the local health agency, where applicable, annually to provide the address and telephone number for each public water system and state small water system to the Public Utilities Commission and, as prescribed, to a local agency formation commission. This bill contains other related provisions and other existing laws.

SB 783 **(De León D) The California Clean Water, Safe Urban Parks, and Environmental Health Investment Act of 2014.**

Current Text: Introduced: 2/22/2013 pdf html

Introduced: 2/22/2013

Status: 5/10/2013-Failed Deadline pursuant to Rule 61(a)(3). (Last location was RLS. on 3/11/2013)

Location: 5/10/2013-S. 2 YEAR

Summary: Current law enacts various programs pertaining to clean water and the establishment of public parks. This bill would make specific findings and declarations and would declare the intent of the Legislature to enact legislation that would improve the economy, the natural environment, and increase and improve access opportunities to physical fitness, by enacting the California Clean Water, Safe Urban Parks, and Environmental Health Investment Act of 2014.

COMMISSION MEMORANDUM NO. 8765

DATE: June 4, 2013

TO: SAWPA Commission

SUBJECT: The Dolphin Group Task Order Renewal

PREPARED BY: Celeste Cantú, General Manager

RECOMMENDATION
It is recommended that the Commission authorize the General Manager to execute Task Order No. TDGI1000-16 with The Dolphin Group in the amount of $115,000 for continued consulting services for State legislative, regulatory, and government relations through the Fiscal Year 2015-16.

DISCUSSION
The Dolphin Group has served SAWPA extremely well, providing high-quality service and representation. In light of the many complex water issues on the horizon, and The Dolphin Group's strong representation and established relationships with legislative leaders, Administration, and the Commission, staff recommends continuing this contract for three years. There are no changes to the contract amount and scope from last year.

The Dolphin Group's monthly fee is based upon SAWPA's historical demands and work expectations.

RESOURCE IMPACTS
These efforts were planned for and funding has been approved in the FYE 2013-2014 Budget. Additionally, funding will be planned for in the FYE 2014-15 and FYE 2015-16 Budgets.

CC:dm

Attachment: Task Order No. TDGI1000-16

CM#8765 Dolphin Group Renewal 6-4-13

SANTA ANA WATERSHED PROJECT AUTHORITY

TASK ORDER NO. TDGI 10000-16

CONSULTANT: The Dolphin Group
11620 Wilshire Blvd, Ste. 410
Los Angeles, CA 90025

VENDOR NO. 1326

COST: Not-to-exceed $115,000

PAYMENT: Monthly upon receipt of proper invoices

REQUESTED BY: Celeste Cantú, General Manager June 4, 2013

FINANCE: _____
Karen Williams, CFO Date

FINANCING SOURCE: Acct. Coding 100-03-60121-05
Acct. Description Consulting - State Legislative

COMMISSION AUTHORIZATION REQUIRED: YES (X) NO ( )
Commission Memo #8765

This Task Order is issued upon by the Santa Ana Watershed Project Authority (hereafter "SAWPA") to **The Dolphin Group** (hereafter "Consultant") pursuant to the Agreement between SAWPA and Consultant entitled *Agreement for Services***,** dated June 19, 2007; extended through Amendment No. 2 *(expires 6-30-2015).*

I. PROJECT NAME OR DESCRIPTION

Legislative and Government Relations Consulting Services through Fiscal Year 2015-2016.

II. SCOPE OF WORK AND DELIVERABLES

Consultant shall deliver to SAWPA the following:

a. Monthly legislative reports
b. Other reports/letters as needed
c. Advocacy as needed during Legislature Session
d. Strategic counsel on State legislative and regulatory efforts.

Please refer to Appendix X for acceptable formats, also available at www.SAWPA.org/html/e_req.htm

III. TASKS TO BE PERFORMED

The Consultant shall provide all labor, materials and equipment for the project to facilitate the funding of program, project, and other State budget issues, including protection of local water agency property taxes. The Consultant also shall coordinate and provide strategic counsel and advocacy services for all SAWPA legislative and regulatory efforts and requests related to water bonds and other related legislative and governmental actions and priorities as determined by the Commission and the General Manager.

IV. PERFORMANCE TIME FRAME

The Consultant shall begin work within five days of the date this Task Order is signed by the Authorized Officer, and shall complete performance of such services by or before **June 30, 2016**.

V. SAWPA LIAISON

Celeste Cantú shall serve as liaison between SAWPA and Consultant.

VI. STAFF ASSIGNMENTS

The Consultant shall assign the following staff personnel to perform the services required by this Task Order:

a. Michael Boccadoro
b. Brian Rees

VII. COMPENSATION

For all services rendered by Consultant pursuant to this Task Order, Consultant shall receive a total not-to-exceed sum of **$115,000**. In accordance with this amount will include all reasonable and necessary expenses incurred for such services. Payment shall be made monthly upon receipt of proper invoices from Consultant, as required by the above-mentioned Agreement. Each such invoice shall be provided to SAWPA by Consultant within 15 days after the end of the month in which the services were performed. SAWPA may at any time and at its sole discretion, increase or decrease the monthly payment to allow for changes in the level of work requested for future months under the contract.

VIII. CONTRACT DOCUMENTS PRECEDENCE

In the event of a conflict in terms between and among the contract documents herein, the document item highest in precedence shall control. The precedence shall be:

a. The Agreement for Services by Independent Consultant/Contractor.
b. The Task Order or Orders issued pursuant to the Agreement, in numerical order.
c. Exhibits attached to each Task Order, which may describe, among other things, the scope of work and compensation therefore.
d. Specifications incorporated by reference.
e. Drawings incorporated by reference.

In witness whereof, the parties have executed this Task Order on the date indicated below.

SANTA ANA WATERSHED PROJECT AUTHORITY

_____

Celeste Cantú, General Manager Date

THE DOLPHIN GROUP

_____ _____

(Signature) Date Printed/Typed Name

COMMISSION MEMORANDUM NO. 8763

DATE: June 4, 2013

TO: SAWPA Commission

SUBJECT: Inland Empire Brine Line Repairs to Unlined RCP, Reaches IV-A and IV-B – Construction Management Services Change Order Request

PREPARED BY: David Ruhl, Program Manager

RECOMMENDATION
It is recommended that the Commission authorize the General Manager to approve Change Order No. 1 to Task Order No. RBF323-08 with RBF Consulting in an amount not-to-exceed $111,726, for a total contract amount of $959,826.

DISCUSSION
In April 2011, the Commission approved a Task Order with RBF Consulting to perform Construction Management Services for the Repairs to the Unlined RCP, Reaches IV-A and IV-B. The work included construction management and inspection services for rehabilitation of five miles of Upper Reach IV-A utilizing the Cured-in-Place Pipe (CIPP) technique, and rehabilitation of six miles of Lower Reach IV-A and IV-B within the inundation area behind Prado Dam utilizing the slip-lining technique. All major construction work was completed in June 2012. Close-out activities and contractor negotiations continued through October 2012.

During the period of May 2012 to October 2012, RBF provided additional construction management, inspection services, and claims management for both the CIPP and slip-lining projects. The additional work includes inspection for slip-lining work delayed due to rain events and SAWPA requested new work added to the CIPP contracts, additional closeout efforts, claim management, meetings due to changed field conditions encountered during the course of the slip-lining work, and additional subconsultant resources to review numerous time and material daily reports and certified payrolls. Included in RBF's request is a design credit for additional costs paid by SAWPA due to minor errors on the plans and specifications.

The value of RBF's additional work less the design credit is $111,726. SAWPA staff has reviewed the request and considers the work additional work outside of RBF's original scope of work. RBF's original contract amount of $848,100 is 6.4% of the original contract amount of $13,224,281 for both the CIPP work ($2,244,776) and the slip-lining work ($10,979,505). During the course of construction, the value of work increased $1,960,304 due to changed field conditions and owner-requested work added to both the CIPP work and the slip-lining work, for a total contract value of $15,184,585. RBF's additional work of $111,726 is 5.7% of the additional construction amount of $1,960,304. RBF's requested amount is fair and reasonable due to the additional work added to the construction contracts and the litigious behavior of the Contractor. Attached for review is a copy of RBF's Change Order request and a detailed breakdown of the additional work, resources, and hours.

RESOURCE IMPACTS
Planning, design, construction, and construction management of Reaches IV-A and IV-B, in addition to Reach IV-A Upper, are being financed in part by a SRF loan ($15,850,000), Proposition 84, Round 1 Grant ($1,000,000), and Reserves ($1,700,000).

DR:pb

Attachments:
1. Change Order No. 1, RBF Change Order Request and Detail Breakdown
2. Task Order No. RBF323-08

CM#8763 RBF Change Ord 6-4-13

SANTA ANA WATERSHED PROJECT AUTHORITY

CHANGE ORDER NO. 1
To Task Order No. RBF323-08

CONTRACTOR: RBF Consulting
9755 Clairemont Mesa Blvd., Ste. 100
San Diego, CA 92124
VENDOR NO. 1539

PROJECT: Repairs to Unlined RCP Reaches IV-A and IV-B, and Construction Management Services

COST: $111,726

REQUESTED BY: David Ruhl, Program Manager June 4, 2013

FINANCE: _____
Karen Williams, CFO

FINANCING SOURCE: Acct. Coding: 323-REPAI-6113-01
Acct. Description: Consulting General

COMMISSION AUTHORIZATION REQUIRED: YES (X) NO ( )

Funding for this work previously was authorized through CM #8543in April, 2011. *CM #8763*

Contractor is hereby directed to continue the services as defined in the original Scope of Work.

DESCRIPTION/JUSTIFICATION OF CHANGE: RBF has provided an increased level of effort as outlined in the May 16, 2013 letter, Attachment A.

CHANGE IN CONTRACT TIME: None.

CHANGE IN TASK ORDER COST:

Original Task Order Amount:	$848,100
Change Order Amount No. 1:	$111,726
Contract Total:	$ 959,826

ACCEPTANCE:
Contractor accepts the terms and conditions stated above as full and final settlement of any and all claims arising from or related to this Change Order. Contractor agrees to perform the above described work in accordance with the above terms and in compliance with applicable sections of the Contract Specifications. This Change Order is hereby agreed to, accepted and approved, all in accordance with the General Provisions of the Contract Specifications.

SANTA ANA WATERSHED PROJECT AUTHORITY

_____
Celeste Cantú, General Manager Date

RBF CONSULTING

_____ _____
(Signature) Date Typed/Printed Name

RBF CONSULTING

May 16, 2013 RBF JN: 25-104653

Celeste Cantú
General Manager
Santa Ana Watershed Project Authority
11615 Sterling Ave.
Riverside, CA 92503

Re: Construction Management Services Contract for the Repairs to the Unlined RCP (Task Order No. RBF323-08)

Dear Celeste:

Thank you for the time your team spent with us April 23. As a follow to our meeting and a subsequent request from Rich Haller, we offer the following amendment request and supporting information.

As agreed upon in our meeting, RBF provided an increased level of effort in the following areas as detailed in Attachment 1:

Added meetings:	$9,135.00
Added field representation (Insituform Technologies contract):	$20,430.00
Added field representation (Mladen Buntich Construction contract):	$13,860.00
Added closeout efforts (Insituform Technologies contract):	$5,835.00
Added punchlist/closeout efforts (Mladen Buntich Construction contract):	$16,795.00
Added claim management (Mladen Buntich Construction contract):	$19,220.00
Added claim resolution support (Mladen Buntich Construction contract):	$42,625.00
Design Item task offset	($16,174.00)

In summary and in consideration for the added value benefit RBF CM Services provided as part of RBF Task Order RBR323-08, we request a change order in the amount of $111,726.00 be processed for execution.

Please do not hesitate to contact me if you have any questions or comments.

Sincerely,

RBF Consulting

Richard A Rubin, PE, AICP, LEED AP
Executive Vice President

Construction Management Services Contract for the Repairs to the Unlined RCP
(Task Order No. RBF323-08)

Work Item	Papac		Cook		Bassett / McNay		Jentzen		Buensucesco		Belanger		Total
	Hours	$180/hr	Hours	$165/hr	Hours	$135/hr	Hours	$90/hr	Hours	$65/hr	Hours	$125/hr	
Added Meetings (May - October 2012) • Additional field and office meetings associated with review and resolution of claims • Preparation and follow-up time associated with additional office meetings	11	$1,980	39	$6,435	0	$0	8	$720	0	$0	0	$0	$9,135
Added Field Representation (Insituform) • Additional field inspection due to delays associated with lining at Carbon Canyon Treatment Plant • Additional field inspection time associated with changed City of Chino traffic control requirements	0	$0	0	$0	40	$5,400	167	$15,030	0	$0	0	$0	$20,430
Added Field Representation (Buntich) • Additional field inspection due to weather impact evacuations • Additional field inspection due to recovery pit delays	0	$0		$0	92	$12,420	16	$1,440	0	$0	0	$0	$13,860
Added Closeout Efforts (Insituform) • Additional project management associated with differing site conditions change order requested related to bypass pumping, traffic control changes and changes to lining operations • Preparation of memorandum of understanding to resolve outstanding issues	7	$1,260	25	$4,125		$0	5	$450	0	$0	0	$0	$5,835
Added punchlist/closeout efforts (Buntich) • Additional project management associated with non-claim change order items • Additional field inspection of punchlist items related to weather impact evacuations and recovery pit restorations	18	$3,240	21	$3,465	12	$1,620	84	$7,560	14	$910	0	$0	$16,795
Added claim management (Buntich) • Additional project management associated with resolution of multiple claims associated with drive failures on reaches 3A, 6A, 7A, 9A and 11A • Preparation of unilateral change orders associated with multiple claims • Processing of additional documentation associated with submission and resolution of multiple claims associated with drive failures on reaches 3A, 6A, 7A, 9A and 11A	58	$10,440	41	$6,765	0	$0	0	$0	31	$2,015	0	$0	$19,220
Added claim resolution support (sub-consultant) • RBF provided outside claim support to assist in verification of costs submitted by Buntich	0	$0	0	$0	0	$0	0	$0	0	$0	341	$42,625	$42,625
												Subtotal	**$127,900**
Design Offset													($16,174)
												Total	**$111,726**

SANTA ANA WATERSHED PROJECT AUTHORITY
TASK ORDER NO. RBF323-08

CONSULTANT: RBF Consulting
9755 Claremont Mesa Blvd, Ste. 100
San Diego, CA 92124

VENDOR NO. 1539

COST: Not-to-Exceed $848,100

PAYMENT: Monthly, upon Proper Invoice

REQUESTED BY: David Ruhl, Program Manager April 19, 2011

FINANCE: KWilliams
Karen Williams, CFO

FINANCING SOURCE: Acct. Coding 323-REPAI-6211
Acct. Description SARI Project Management Services

COMMISSION AUTHORIZATION REQUIRED: YES (X) NO ( )
Commission Memo 8453

This Task Order is issued by the Santa Ana Watershed Project Authority (hereafter "SAWPA") to **RBF Consulting** (hereafter "Consultant") pursuant to the Agreement between SAWPA and Consultant entitled *Agreement for Services*, dated September 18, 2007 and Amendment No. 2 *(expires December 31, 2014)*.

I. PROJECT NAME OR DESCRIPTION

Repairs to Unlined RCP, Reaches IV-A and IV-B Construction Management Services

II. SCOPE OF WORK / TASKS TO BE PERFORMED

The Consultant shall be responsible for providing construction management services throughout the duration of the two construction contracts (Upper Reach IVA, Lower Reach IVA and Reach IVB) that will run simultaneously. The Contractor is responsible to furnish and maintain two (2) Class A field offices, per the specifications, for the exclusive use of the Engineer and Construction Manager. The field offices will be located at the Inland Empire Utilities Agency (IEUA) Regional Plant 2 in the City of Chino and at the ACOE field offices at Prado Dam in the City of Corona.

The scope of work will include, but not be limited to the following tasks:

Task 1 – Project Management

1.1 Consultant shall provide overall project management, monthly progress reports and invoicing. Consultant shall provide coordination among three (3) construction contracts and up to three (3) separate contractors. Consultant shall monitor contractor's activities related to advance notification of property owners, coordination with permit agencies, permit compliance review, coordination with SAWPA, WMWD, IEUA, coordination with the contractor's biologist and SAWPA's biologist, compliance with Mitigation Monitoring Program and environmental provisions of the contract. Consultant shall provide records management for each project reach independently. All project documents to be delivered to SAWPA at the completion of the project in electronic format.

1.2 Consultant shall assist SAWPA in the preparation and submittal of reports to the SWRCB as part of the reporting requirements of the SRF Project Finance Agreement; see Section X – Available Documents. Consultant shall submit to SAWPA quarterly reports on the status of the project. At a minimum the reports will contain the following information: a summary of progress to date including a description of progress since the last report, percent construction complete, percent contractor invoiced, and percent schedule elapsed; a listing of change orders including amount, description of work, and change in contract amount and schedule; any problems encountered, proposed resolution, schedule for resolution, status of previous problem resolutions, and number of jobs created or preserved due to the Project. The Consultant shall report Disadvantaged Business Enterprise (DBE) utilization to the SWRCB on the DBE Utilization Report, State Water Board Form DBE UR334. DBE reporting must be submitted to the SWRCB semiannually within ten calendar days following April 1 and October 1 until such time as the Notice of Completion is issued.

Task 2 – Preconstruction Services

2.1 Consultant shall prepare an agenda, distribute notices and shall conduct two (2) preconstruction conferences, one (1) for Upper Reach IVA and one (1) for Lower Reach IVA and Reach IVB. The Consultant's Project Manager and Inspector(s) shall attend the preconstruction conferences. The Consultant shall prepare minutes and distribute copies to the attendees, SAWPA and other stakeholders as identified by SAWPA.

Task 3 – Construction Services – Field

Total contract times for Upper Reach IVA is 300 calendar days. Total contract times for Lower Reach IVA is 600 calendar days and Reach IVB is 600 calendar days. Total estimated work days for Lower Reach IVA and Reach IVB is 200 work days each over an 18 – 20 month period.

3.1 Provide the services of one full time inspector for the project reaches identified as: Upper Reach IVA, Lower Reach IVA and Reach IVB, including review and inspection of Contractor's work for compliance with Contract Documents, Review of Contractor's compliance with all regulatory permits and mitigation measures. Consultant may be required to provide the services of additional inspectors depending on the specific inspection requirements of each pipeline reach during the course of construction. Consultant shall provide personnel with the training required to perform duties (safety, confined space, etc.).

3.2 Prepare daily construction reports for each reach and other necessary documentation, detailing the contractors operations performed for each day the contractor is on-site; general site conditions; measure the quantities of materials delivered to the site; quantities of materials installed, log contractor equipment and contractor's employees and log visitors to the site. Include photos in daily reports. Provide video if necessary.

3.3 Provide periodic digital photos in JPEG format during the course of construction. Photos shall have the date and time stamp on the photo and a descriptive file name. Photos shall be provided bi-monthly to SAWPA on a separate CD/DVD in JPEG format for each reach.

Task 4 – Construction Services – Office

4.1 Weekly meetings. Lead weekly meetings (three separate meetings may be required) with the Contractor(s) for Upper Reach IVA, Lower Reach IVA and Reach IVB, including preparing agenda, meeting minutes, and distributing copies of minutes to attendees and SAWPA. Outstanding issues to be tracked on a weekly basis. The Inspector shall be present.

4.2 Monthly Progress Payments: Review and approve Contractor payment requests. Forward to SAWPA for review and payment.

4.3 Submittal processing for each project reach: Note: Obtain submittal list, based on the draft contract specifications and plans. A draft submittal list is included as Attachment A.

4.3.1 Shop Drawings: Coordinate review process for shop drawings, traffic control plans, test reports, and other data submitted by the contractor for compliance with the information required by the contract documents. Submittals to be transmitted to the Engineer for their review, comment and/or approval. Submittals shall be tracked and logged. Forward copies of submittals to SAWPA for their information and review. Consultant shall provide electronic updates of the log to SAWPA and Engineer on a monthly basis.

4.3.2 Request for information (RFI). Review and respond to RFI's. Transmit design related RFI's to the Engineer to obtain input. RFI's shall be tracked and logged. Forward copies of RFI's to SAWPA for their information and review. Consultant shall provide electronic updates of the log to SAWPA and Engineer on a monthly basis. When possible meetings to discuss and resolve RFI's shall coincide with the weekly progress meetings. Consultant shall assume no more than 30 RFI's total for Upper Reach IVA, Lower Reach IVA and Reach IVB combined.

4.4 Change Order Review and Field Directives: Consultant shall assume no more than six change orders and 12 field directives total for Upper Reach IVA, Lower Reach IVA and Reach IVB combined. Note: Change Orders that may affect facility performance will be transmitted to the Engineer for review.

4.4.1 Prepare Request for Proposal for Owner initiated Change Order. Consultant shall assume that three of the six total change orders are Owner initiated.
4.4.2 Review potential change orders/proposals for contractual and technical merit, provide recommendation to SAWPA.
4.4.3 Prepare independent cost estimate and schedule analysis of work.
4.4.4 Negotiate and prepare change order documentation for execution. SAWPA will prepare Change Order.

Task 5 – Project Closeout

5.1 Substantial and Final Completion Services.

5.1.1 Develop a punch list for Upper Reach IVA, Lower Reach IVA and Reach IVB. Upon substantial completion of work, the Construction Manager shall coordinate with the ACOE, City of Chino, City of Chino Hills, the City of Corona and IEUA, perform a project inspection and develop a comprehensive punch list of items to be completed. This shall be issued with the Certificate of Substantial Completion, which shall be issued by the Construction Manager.
5.1.2 Acceptance Letter. Following completion of punch list work, prepare letter to SAWPA recommending project acceptance. SAWPA will prepare and file Notice of Completion. Verify required certificates and O&M manuals have been submitted. Verify that required training is complete.

5.2 Record Drawings. Collect and review record drawings prepared by the Contractor and forward red-lined set of drawings to the Engineer with a copy to SAWPA. Review record drawings prepared by Engineer and submit to SAWPA.

Task 6 – Develop Plan and Profile Record Drawings.

The Consultant shall develop a complete sets of plan, profile and detail record drawings for SARI Reach IVA (Upper and Lower) and SARI Reach IVB in AutoCAD incorporating all pertinent information from existing SAWPA Record Drawings. The following is a list of SARI Reach IVA and IVB drawings to be incorporated into the record drawings (PDF copies are available for download, See Section X. Mylars of the record drawings are available upon contract award):

- SARI Reach IV-A Section 1 – Record Drawings
- SARI Reach IV-A Section 2 – Record Drawings
- SARI Reach IV- B Section 1 – Record Drawings
- SARI Reach IV-B Section 2 – (Sheets 1 – 7) Record Drawings
- Western Riverside County Regional Wastewater System South Regional Collection System Contract No. 2 Non-reclaimable Wastewater Sewer Record Drawings
- Prado Dam Embankment and Outlet Works Plan and Profile Reaches IVA and IVB Relocation – As bid drawings (record drawings and AutoCAD files should be available by December 2011).
- Repairs to the Unlined RCP Reach IVA and Reach IVB record drawings available in AutoCAD format upon completion of Project.

Record drawings shall incorporate all pertinent information from existing record drawings and shall be in accordance with the following minimum design guidelines:

a. Include title sheet, location map, SARI index map, drawings and details.
b. Plan, profile and photo strip (include alignment and Maintenance Access Structures (MAS) separate from the plan view) on one sheet.
c. Scale: horizontal 1" = 40', Vertical 1" = 4' or 8'.
d. Plan view: coordinates for each MAS, show lateral connections, show horizontal control data between each MAS, show storm drain inlets/catch basins. Show public streets, access roads, SARI dischargers, SAWPA easements and property lines.
e. Elevations on both sides of profile.
f. Use SAWPA unique facility Identifiers for MAS's.
g. MAS Information: Include upstream and downstream invert elevations and MAS rim elevations.
h. Size, orientation and invert of any pipe connections.
i. Pipe material, size, and slope in profile.
j. 1,000 lineal feet maximum per page.
k. North arrow pointing up or to the right of the page.
l. Coordinate pipeline stationing with SAWPA.
m. Coordinate potholing requirements with SAWPA and show pothole data. Adjust the pipeline plan and profile consistent with the pothole information. Pothole work shall be performed by SAWPA.
n. AutoCAD Version 2007 or later.

6.1 Consultant shall submit a brief technical memo (draft and final) documenting the approach outlined above prior to performing any work. Consultant shall submit three full size copies, five 11" X 17", and one electronic copy in PDF format of the draft complete set of plan, profile and details record drawings to SAWPA for review. Consultant shall incorporate SAWPA's comments and shall provide three full size copies, five 11" X 17", and one electronic copy in PDF format of the final complete set of the plan, profile, and details record drawings. Consultant shall provide a CD with the electronic files in PDF and AutoCAD format.

Please refer to Appendix X for acceptable formats, also found at www.sawpa.org/html/e_req.htm

III. PERFORMANCE TIME FRAME

Consultant shall begin work upon signature of this Task Order by the Authorized Officer and shall complete performance of such services by or before **June 30, 2013.**

IV. SAWPA LIASION

David Ruhl and/or Rich Haller shall serve as liaison between SAWPA and Consultant.

V. COMPENSATION

For all services rendered by Consultant pursuant to this Task Order, Consultant shall receive a total not-to-exceed sum of **$848,100** in accordance with Exhibit A, attached. Payment for such services shall be made monthly upon receipt of timely and proper invoices from Consultant, as required by the above-mentioned Agreement. Each such invoice shall be provided to SAWPA by Consultant within 15 days after the end of the month in which the services were performed.

VI. CONTRACT DOCUMENTS PRECEDENCE

In the event of a conflict in terms between and among the contract documents herein, the document item highest in precedence shall control. The precedence shall be:

a. The Agreement for Services by Independent Consultant/Contractor.
b. The Task Order or Orders issued pursuant to the Agreement, in numerical order.
c. Exhibits attached to each Task Order, which may describe, among other things, the Scope of Work and compensation therefore.
d. Specifications incorporated by reference.
e. Drawings incorporated by reference.

In witness whereof, the parties have executed this Task Order on the date indicated below.

SANTA ANA WATERSHED PROJECT AUTHORITY

4-20-4

Celeste Cantú, General Manager — Date

RBF CONSULTING

(Signature) — 4/28/4 Date

Wayne Popa V.P.
Print or Type Name

COMMISSION MEMORANDUM NO. 8764

DATE: June 4, 2013

TO: SAWPA Commission

SUBJECT: Inland Empire Brine Line Data Management System Request for Proposal (RFP)

PREPARED BY: Rich Haller, Executive Manager of Engineering and Operations

RECOMMENDATION
It is recommended that the Commission authorize release of an RFP for commercial off-the-shelf software to manage the Inland Empire Brine Line Pretreatment Program information and data.

DISCUSSION
The purpose of the RFP is to obtain a commercial-off-the-shelf information management system to administer SAWPA's industrial pretreatment program in accordance with the requirements of §40CFR403, to provide software development and configuration, to install and test the application, to provide training and documentation, to turn over the system to SAWPA, to provide ongoing technical support, and other tasks as described in the Technical Specifications (Attachment E of the RFP). The application will be accessible remotely through the Internet by the agencies.

The study of SAWPA's Pretreatment Program prepared by Dudek recommended the use of a centralized database to manage the information and data of the pretreatment program. Currently, this process is being performed using an MS Access database, which has none of the automation and efficiency measures a pretreatment software package provides. With the conversion to the SAWPA Directed Program where SAWPA will issue the permits and enforcement, now is the time to procure and implement this software.

In order to control costs, a commercial off-the-shelf system will be used with focused customization (e.g. reports, forms) to maximize program efficiency and quality assurance. Emphasis will be on quickly preparing and initiating the use of the software; further enhancements could be made in the future after experience using the software and refinements are made to the Pretreatment Program.

Tentative Schedule:

Issue RFP	June 5, 2013
Receive Proposals	July 1, 2013
Recommendation to Commission	July 16, 2013
Contract Award	July 17, 2013
Implement Use of Software	January 2, 2014
Software Updates and Ongoing Technical Support	January 2, 2014 – June 30, 2014

The cost for an annual license fee and technical support is requested to be included for the next five years.

RESOURCE IMPACTS
Costs are estimated at $150,000. Funds for the software are budgeted under the FY 2013-14 Budget Fund No. 240.

RH:pb

Attachment: RFP

CM#8764 Data Management System RFP 6-4-13

SECTION I. REQUESTS FOR PROPOSAL (RFP)

The Santa Ana Watershed Project Authority (SAWPA) will receive sealed proposals until **July 1, 2013 at 11:00 a.m.** Proposals must be received by SAWPA by the date and time herein above set forth. Deliver or mail to 11615 Sterling Avenue, Riverside, California 92503.

REQUEST FOR PROPOSAL
INLAND EMPIRE BRINE LINE PRETREATMENT PROGRAM
DATA MANAGEMENT SYSTEM (DMS)

The Santa Ana Watershed Project Authority (SAWPA) is seeking proposals from qualified firms to provide a commercial-off-the-shelf information management system for the conduct of an industrial pretreatment program. SAWPA conducts an industrial pretreatment program (IPP) within its service area as a satellite system to Orange County Sanitation District (Control Authority).

The purpose of this Request for Proposal (RFP) is to obtain a commercial-off-the-shelf information management system to administer SAWPA's industrial pretreatment program in accordance with the requirements of 40CFR403, to provide software development and configuration such as for reports, to install and test the application, to provide training and documentation, to turn over the system to SAWPA, to provide ongoing technical support, and other tasks as described in the Technical Specifications, Attachment E. The application must be remotely accessible through the internet.

Written questions shall be addressed to Rich Haller at rhaller@sawpa.org or faxed to (951) 785-7076 no later than 3:00 p.m. on June 21, 2013. A non-mandatory pre-proposal conference call meeting has been scheduled for June 12, 2013 at 10 a.m.

ATTENTION OFFERORS

In addition to the above RFP, this package includes the following. If any items are missing from your proposal package, please contact SAWPA at (951) 354-4220.

General Provisions
Contract Provisions
Proposal Format & Content
Evaluation Procedures
Evaluation Criteria
Attachment A: *Cost Proposal Form
Attachment B: *Non-Collusion Affidavit
Attachment C: *Acknowledgement of Insurance Requirements
Attachment D: General Services Agreement (GSA) with Task Order Terms and Conditions
Attachment E: Technical Specifications

*These pages must be submitted and sealed under separate cover.

SECTION II. GENERAL PROVISIONS

The following general proposal provisions, contract provisions, proposal format and content, evaluation procedures, evaluation criteria, all attachments, General Services Agreement Terms and Conditions (Attachment D), technical specifications (Attachment E) including appendices provided herein shall apply to all proposals.

A. Request for Proposal, Forms, and Certificates. All forms and certificates shall be completed in full with all blank spaces properly filled in using ink or typewriter. The Cost Proposal Form shall be properly executed by a duly authorized agent of the firm or company. Facsimile (FAX) transmission of proposals will not be accepted. The following items 1-6 listed below shall be included within the submitted package:

1. Letter of Offer (Section IV)
2. Technical Proposal (Section IV)

Items 3-6 listed below shall be submitted and sealed in a separate envelope within the submittal package:

3. Cost Proposal Form (Attachment A)
4. Non-Collusion Affidavit (Attachment B)
5. Acknowledgement of Insurance Requirements (Attachment C)
6. Acknowledgement of all Addendum Confirmation Forms, if applicable

B. Proposal Validity. Proposals submitted hereunder shall be valid for a period of not less than 90 calendar days from the date of submittal.

C. Disqualification of Proposals. More than one proposal from any person, firm, partnership, corporation or association under the same or different names will not be accepted and reasonable grounds for believing that any Offeror is interested in more than one proposal will be cause for rejecting all proposals by that Offeror in which such Offeror is interested. Apparent collusion among the Offerors will likewise be sufficient cause for rejecting any or all proposals, and the participants in such collusion may be eliminated from future proposing. The enclosed Non-Collusion Affidavit must be executed and submitted with the proposal (Attachment B).

Proposals in which the prices obviously are unbalanced may be rejected. The wording of the proposals shall not be changed. Any additions, conditions, limitations, or provisions inserted by the Offeror will render the proposal irregular and may cause its rejection. Erasures or interlineation in the proposal must be explained and initiated by the Offeror. SAWPA reserves the right to reject any and all proposals, extend deadlines, and enter into negotiations with any Offeror.

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D. Receipt of Proposals. Three (3) hard copies of the proposal plus one (1) original proposal must be received in a sealed package at SAWPA's lobby by the time and date called for. In addition, SAWPA requires one (1) electronic copy of the proposal in PDF format. All proposal packages must have the specification number clearly marked on the outside of the envelope.

Any proposal(s) received after the time and date stipulated will be disqualified and returned to the Offeror(s), showing time and date received at SAWPA. The official time is as indicated at the SAWPA reception desk.

E. Addenda. Any SAWPA change to the requirements will be made by written addendum to this RFP. Any written addenda issued pertaining to this RFP shall be incorporated into the terms and conditions of any resulting Contract. SAWPA will not be bound to any modifications to or deviations from the requirements set forth in this RFP as the result of oral instructions. Offerors are not entitled to rely on any oral representations made by SAWPA or its employees and agents.

F. Clarifications. Should an Offeror require clarification of this RFP, the Offeror shall notify SAWPA in writing in accordance with Section G below. Should it be found that the point in question is not clearly and fully set forth, SAWPA will issue a written addendum clarifying the matter which will be sent to all persons who have requested the RFP.

G. Inquiries. Prospective Offerors are required to put all RFP questions, clarifications or comments in writing and fax to Rich Haller at (951) 785-7076, or e-mail rhaller@sawpa.org. Questions, clarifications or comments must be received no later than June 21, 2013 at 3:00 pm. The Offerors must verify that SAWPA received the fax or e-mail transmission.

SECTION III. CONTRACT PROVISIONS

A. Contract. SAWPA will require the Offeror to whom the contract is awarded ("Awarded Service Provider") to enter into a General Services Agreement and a Task Order binding all the terms, conditions and provisions of the specifications defined in the Proposals and associated documents. **The General Services Agreement will be valid from July 17, 2013 through June 30, 2014, with an annual option to extend through June 30, 2019 for software updates and ongoing technical support.**

B. Assignment of Contract. This contract shall not be assigned by Service Provider without prior written authorization from SAWPA.

C. Award. SAWPA may negotiate contract terms with the tentatively selected Offeror prior to award, and expressly reserves the right to negotiate with several Offerors simultaneously and, thereafter, to award a contract to the Offeror offering the most favorable terms to SAWPA.

SAWPA reserves the right to award its total requirements to one (1) Offeror or to apportion those requirements among several Offerors as SAWPA may deem to be in its best interest. In addition, negotiations may or may not be conducted with Offerors; therefore, the proposal submitted should contain Offeror's most favorable terms and conditions, since the selection and award may be made without discussion with any Offeror. SAWPA reserves the right to award the contract to the Offeror it believes, in its sole discretion, is the most qualified, and may not award the contract to the apparent lowest Offeror.

D. No Commitment to Award. This RFP is not an offer. Issuance of this RFP and receipt of proposals does not commit SAWPA to award a contract. SAWPA expressly reserves the right to postpone the proposal opening, to accept or reject any or all proposals received in response to this RFP, to negotiate with more than one Offeror concurrently, or to cancel all or part of this RFP.

E. Contract Term. The services provided under this Contract shall be completed as outlined in the Scope of Work and as summarized below:

- Contract Award: July 17, 2013
- Deliver completed information management system application to SAWPA including all project specific tailoring: 9/30/13
- Provide documentation and complete training: 10/31/13
- Trial use of software: 11/1/13 – 12/31/13
- Implement use of software: 1/2/14
- Software updates and ongoing technical support: 1/2/14 - 6/30/14
- Annual software updates and ongoing technical support (5 years, optional): 7/1/14 - 6/30/19

F. Governing Law. The Contract with the Awarded Service Provider shall be governed by the laws of the State of California.

G. Termination. SAWPA reserves the right to terminate the Contract, in whole or in part, without cause, at any time, by written notice to Awarded Service Provider. Such notice of termination shall release SAWPA from any further liability, fee, cost or claim by the Awarded Service Provider other than for work performed to the date of termination. Upon receipt of a termination notice, the Awarded Service Provider shall immediately discontinue all work under the Contract (unless the notice directs otherwise). SAWPA shall thereafter, within thirty (30) days of receipt of a valid invoice, pay the Awarded Service Provider for unpaid work performed to the date of termination.

H. Payment and Invoicing. Upon approval by an authorized SAWPA employee, SAWPA will pay, within 30 days after receipt of valid, itemized invoices, submitted in duplicate, in a form acceptable to SAWPA to enable audit of the charges thereon. All such invoices shall be mailed to SAWPA, 11615 Sterling Avenue, Riverside, California 92503, attention Accounts Payable.

I. Pre-contractual Expenses. Pre-contractual expenses are defined as any expenses incurred by the Offeror including, without limitation, the following: 1) preparing its proposal in response to this RFP; 2) submitting that proposal to SAWPA; 3) negotiating with SAWPA any related matter related to this RFP, including a possible contract; 4) engaging in any other activity prior to the effective date of award, if any, of a contract resulting from this RFP. SAWPA will not, under any circumstance, be liable for any pre-contractual expenses incurred by Offerors, and Offerors shall not include any such expenses as part of their proposals. The subsequent discovery by SAWPA of evidence such that expenses have been included in the Offer of the Awarded Service Provider will be considered a material breach of the Contract by that Provider resulting in a deductive Change Order in favor or SAWPA and termination of the Contract.

J. Work Hours. The work required by this proposal package may include normal business hours, evenings, nights and weekends. **The Awarded Service Provider shall provide SAWPA with all required premiums and/or overtime work at no charge beyond the price provided in the Awarded Service Provider's proposal. SAWPA WILL NOT PAY FOR TRAVEL AND/OR STAND-BY TIME.**

K. Damage to SAWPA Property. Any SAWPA property damaged by the Awarded Service Provider, or its employees, agents or subcontractors shall be repaired or replaced by the Awarded Service Provider at no cost to SAWPA.

L. Prevailing Wage Law. SAWPA is subject to the provisions listed in the prevailing wage determination made by the Director of Industrial Relations pursuant to California Labor Code, Sections 1770, 1773, and 1773.1. Awarded Service Provider shall not pay less than the prevailing wage.

M. South Coast Air Quality Management District's (SCAQMD) Requirements. It is the Awarded Service Provider's responsibility that all equipment furnished and installed is in accordance with the latest rules and regulations of the South Coast Air Quality Management District (SCAQMD). All contract work practices, which may have associated emissions such as sandblasting, open field spray painting or demolition of asbestos containing components or structures, shall comply with the appropriate rules and regulations of the SCAQMD.

N. Year 2000 Warranty. Awarded Service Provider warrants that all functionality described in product user's manual has been tested to work for usage and data dates between January 1, 1900 and January 1, 2100. Should it be determined that the product would stop or otherwise fail for dates in this range, then Awarded Service Provider shall provide at no additional cost to SAWPA a repair, upgrade or replacement in a timely fashion. If such repair or upgrade is not acceptable to SAWPA, then at the sole discretion of SAWPA, product may be returned to Awarded Service Provider for a full refund. This warranty shall be valid until the later of December 31, 2014 and 18 months after the first date of installation whichever is later.

O. Insurance. Offerors must meet all insurance requirements as outlined in Attachment C, the "Acknowledgement of Insurance Requirements and Certification of Ability to Provide Coverages Specified" affidavit, which must be executed and submitted with the proposal. Certificate of Insurance shall only be completed by the Awarded Service Provider, and SAWPA shall be an additional insured on A warded Service Provider's comprehensive liability insurance coverages, with the right of subrogation waived as to SAWPA.

P. Drug Free Workplace. Awarded Service Provider's employees, agents and subcontractors shall adhere to, and comply with, the California Drug Free Workplace Act at Government Code, Sections 8350 through 8357.

Q. Safety. Awarded Service Provider agrees to conform to, and comply with all applicable Federal and State Occupational Safety and Health Act (OSHA) regulations. Awarded Service Provider assumes complete responsibility for the safety of its employees, agents and subcontractors, and shall indemnify and hold harmless SAWPA from any claims, damages, fines, penalties or attorney's fees and costs arising from any injuries or damages or claims relating to the Contract or services provided there under or related thereto.

R. Right to Review Services, Facilities, and Records. SAWPA reserves the right to review any portion of the services performed by the Awarded Service Provider under this Contract, and the Awarded Service Provider agrees to cooperate to the fullest extent possible. The Awarded Service Provider shall furnish to SAWPA such reports, statistical data, and other information pertaining to the Awarded Service Provider's services as may be reasonably required by SAWPA. The right of SAWPA to review or approve drawings, specifications, procedures, instructions, reports, test results, calculations, schedules, or other data that are developed by the Awarded Service Provider shall not relieve the Awarded Service Provider of any obligation set forth in this Contract.

S. Permits, Ordinances and Regulations. Any and all fees required by State, County, local laws, regulations and/or tariffs that pertain to work performed under the terms of this Contract shall be paid by the Awarded Service Provider.

T. Independent Contractor. The Awarded Service Provider, and its employees, agents and subcontractors, in performing the related Contract operate as **independent contractors** and not as employees or agents of SAWPA.

SECTION IV. PROPOSAL FORMAT AND CONTENT

A. Presentation. Proposals shall be typed, double spaced, and submitted on 8½" x 11" size paper, using a single method of fastening. Please do not include any unnecessarily elaborate or promotional material.

For ease of review, the data submitted shall be organized in a logical manner consistent with the Scope of Services described in Attachment E. Non-compliance with this format may be deemed as non-responsive and cause for proposal rejection.

B. Letter of Offer. A Letter of Offer shall be addressed to SAWPA and shall, at a minimum contain the following:

1. Identification of Offeror, including name, qualifying licenses or certifications or registrations, address and telephone number.

2. Proposed working relationship between Offeror and subcontractors, if applicable.

3. Name, title, address and telephone number of contact person during period of proposal evaluation.

4. A statement to the effect that the proposal shall remain valid for a period of not less than 90 calendar days from the date of submittal.

5. Signature of a person authorized to bind Offeror to the terms of the proposal.

C. Technical Proposal.

1. Qualifications, Related Experience and References of Offeror

   This section of the proposal should establish the ability of Offeror to satisfactorily perform the required work by reasons of: e xperience in preparing industrial pretreatment program applications; experience and resources to provide technical support during Pacific Standard Time; demonstrated competence in the services to be provided; strength and stability of the firm; staffing capability; work load; capability to provide immediate response; and supportive client references.

   Offerors shall:

   a. Provide a brief profile of the firm, including the types of services offered; the year founded; form of the organization (corporation, partnership, sole proprietorship); number, size and location of offices; and number of employees.

   b. Provide a general description of the firm's financial condition and identify any conditions (e.g., bankruptcy, pending litigation, planned office closures, impending merger) that may impede Offeror's ability to complete the project.

   c. Describe the firm's experience in performing work of a similar nature to that solicited in this RFP.

   d. Identify subcontractors by company name, address, contact person, telephone number and project function. D escribe Offeror's experience working with each subcontractor.

f. Provide as a minimum three (3) references for the projects cited as related and comparable experience, and furnish the name, title, address and telephone number of the person(s) at the client organization who is most knowledgeable about the work performed. Offeror may also supply references from other work not cited in this section as related experience.

2. Proposed Staffing and Project Organization

Offerors shall:

a. Include a statement that key personnel will be available to the extent proposed for the duration of the project acknowledging that no person designated as "key" to the project shall be removed or replaced without the prior written concurrence of SAWPA.

3. Work Plan

Offerors shall provide a narrative that addresses the Scope of Work and shows Offerors' understanding of SAWPA's needs and requirements. Offerors shall:

a. Describe the approach to completing the tasks specified in the Scope of Work.

b. Identify methods that Offerors will use to ensure quality control as well as budget and schedule control for the project.

Offerors may also propose procedural or technical enhancements/innovations to the Scope of Work which do not materially deviate from the objectives or required content of the project.

4. Exceptions/Deviations

Exceptions/deviations are strongly discouraged. If the Offeror feels an exception/deviation is required, then Offerors shall state any exceptions to or deviations from the requirements of this RFP, segregating "technical" exceptions from "contractual" exceptions. Where Offerors wish to propose alternative approaches to meeting SAWPA's technical or contractual requirements, these should be thoroughly explained.

D. Appendices.

Information considered by Offerors to be pertinent to this project and which has not been specifically solicited in any of the aforementioned sections may be placed in a separate appendix section. Offerors are cautioned, however, that this does not constitute an

invitation to submit large amounts of extraneous materials. Appendices should be relevant and brief.

SECTION V. EVALUATION PROCEDURES

An Evaluation Committee comprised of SAWPA staff and/or member agency staff, in accordance with the criteria listed below, will evaluate all proposals received as specified. The evaluators in applying the major criteria to the proposals may consider additional sub-criteria beyond those listed.

After review of the written proposals, SAWPA reserves the right to interview the highest ranked firm(s).

The final selection of an Awarded Service Provider to perform this service will be the Offeror, which in SAWPA's opinion, is the most qualified, responsive and responsible, and meets SAWPA's requirements in providing this service and is in SAWPA's best interest. SAWPA maintains the sole and exclusive right to evaluate the merits of the proposals received. SAWPA reserves the right to award the Contract to an Offeror that meets the above criteria even if such Offeror is not the apparent lowest bidder.

SECTION VI. EVALUATION CRITERIA

SAWPA will evaluate the Offers received based on the following criteria (in no particular order) including, but not limited to:

1. Qualifications of the Offeror

   Technical experience in performing work of a closely similar nature; experience working with public agencies; assessment by Offeror's references; knowledge of applicable laws and regulations.

2. Staffing and Project Organization

   Qualifications of Offeror's staff; adequacy of labor commitment; concurrence in the restrictions on changes in key personnel.

3. Cost and Price

   Reasonableness of the total price and competitiveness of this amount with other offers received; adequacy of data in support of quoted amounts; reasonableness of individual task budgets.

4. Completeness of Response

Completeness and responsiveness of the Offer in accordance with RFP instructions; exceptions to or deviations from RFP requirements which SAWPA cannot or will not accommodate; other relevant factors not considered elsewhere. Any errors, omissions, insertions or other irregularities in an Offer may be grounds for rejections of such an offer as determined in the sole discretion of SAWPA, no matter how minor, insignificant or immaterial such irregularity may appear to be.

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ATTACHMENT A

COST PROPOSAL FORM
RFP for Inland Empire Brine Line Pretreatment Program
Data Management System
SPECIFICATION NO. Brine Line Pretreatment Program Data Management System

Due Date and Time for Proposal Submittal: July 1, 2013 at 11:00 am.
Any omissions, additions, substitutions, conditions or alternates in Offeror's proposal will be considered irregularities (no matter how insignificant or immaterial such irregularity may be), and may be cause for rejection of the Offeror's proposal. Proposals must be completed in ink, indelible pencil or by typewriter. Erasures or "strike-outs" must be initialed by the Service Provider.

The undersigned does hereby propose to provide services in accordance with all provisions of the Request for Proposals including, but not limited, to the Scope of Work, Technical Specifications, Exhibits, and references for the following price. Incomplete submittal of requested information as called out in the proposal specification requirements may deem the proposal as non-responsive. The cost proposal shall be submitted in separate envelope provided herein. All envelopes shall be clearly marked with the Specification number and envelope content. Submit costs for all of the items below. All costs shall be valid through June 30, 2019.

Item	Description	Cost
1	Provide software in accordance with the technical specifications (Attachment E)	_____
2	Provide testing using a comprehensive formal testing process	_____
3	Provide user documentation and agency training	_____
4	Provide technical support during trial use period	_____
5	Final System Acceptance – 10% of the sum of items 1 through 4 will be withheld until the system is accepted in accordance with the technical specifications (Atch E)	
6	Software updates and ongoing technical support January 1, 2014 - June 30, 2014	_____
7	Software updates and ongoing technical support July 1, 2014 - June 30, 2015 (Optional)	_____
8	Software updates and ongoing technical support July 1, 2015 - June 30, 2016 (Optional)	_____
9	Software updates and ongoing technical support July 1, 2016 - June 30, 2017 (Optional)	_____
10	Software updates and ongoing technical support July 1, 2017 - June	_____

DRAFT

	30, 2018 (Optional)	
11	Software updates and ongoing technical support July 1, 2018 - June 30, 2019 (Optional)	
	TOTAL COST	
	TOTAL COST IN WORDS	

An alternative cost proposal form will be acceptable to OWNER provided it includes exactly the same information as the table above.

The enclosed proposal includes the following <u>required</u> submittals:

(1) Cost Proposal Form (Attachment A)
(2) Non-Collusion Affidavit (Attachement B)
(3) Acknowledgement of Insurance Requirements (Attachment C)
(4) Copies of <u>All</u> Addendum Confirmation Form, if applicable.

Name of Firm	Signature	Date
Address	Authorized Agent (Printed or Typed)	
City, State, Zip Code	E-mail address	
Telephone	Fax	

DRAFT

PRETREATMENT PROGRAM DATA MANAGEMENT SYSTEM
TECHNICAL SPECIFICATIONS

PART 1 - GENERAL

1.01 DESCRIPTION

A. Scope: The Vendor's proposal shall include software, implementation and development services, documentation, training, project management, hardware recommendations, integration with Laboratory Information Management System (LIMS), software testing, training and ongoing software maintenance fees for at least five years. The basis for award will be the proposed solution providing the "Best Value" to SAWPA with respect to immediate and future requirements, risk and cost. Since there are functions that may be specific to SAWPA's business process and these programs may not be available out-of-the-box, the vendor shall propose a solution relative to how your company will address the requested requirements by SAWPA.

B. Vendor shall be responsible for all tasks, deliverables, and associated Services in relation to the successful implementation of the software and integration with SAWPA operations and requirements, including, but is not limited to: consulting and pre-installation planning services, project management, design, software development (if required), software configuration, interface to external systems, system-wide integration, testing, training and all implementation services required for the successful delivery, installation and implementation of a Commercial off-the-Shelf (COTS) pretreatment information management system.

C. Technical Specifications Overview:

1. SAWPA has both general and specific requirements for the software to be purchased. The general requirements describe structure, appearance, overall performance and vendor qualifications. The specific requirements describe detailed functionality that shall be included.
2. Vendors should describe how their company and solution fit the general requirements. Vendors should also indicate if their software meets the specific requirements or if they take exception to particular requirements.
3. The proposal evaluation process will be performed by applying a set of evaluation criteria and awarding points according to the designated criteria. SAWPA will then select a vendor based on the points awarded.

D. Schedule: The Vendor shall provide and implement the fully functional software system such that the software is in fully functional and usable operation by all users on or before January 1, 2014. Interim milestones include the following:

1. Deliver completed information management system application to SAWPA including all project specific tailoring: 9/30/13
2. Provide documentation and complete training: 10/31/13
3. Support trial use of software 11/1/13 – 12/31/13
4. Implement use of software: 1/2/14
5. Software updates and ongoing technical support: 1/2/14 - 6/30/14
6. Annual software updates and ongoing technical support: 7/1/14 - 6/30/15

E. Experience: The Vendor shall furnish references for a minimum of five (5) existing installations of similar industrial pretreatment software programs of equal or greater size that have all been in continuous service under similar conditions for a minimum of five (5) years within the United States of America. Years of installation/operating experience for client references may include software version upgrades.

PART 2 - PRODUCTS

2.01 GENERAL FUNCTIONAL REQUIREMENTS

A. The general requirements include: 1) standardized off-the-shelf software specific to industrial pretreatment; 2) software system configurability; 3) software ease-of-use and 4) pretreatment market longevity.

1. The software shall be a proven industrial pretreatment software program, readily deliverable with a minimum of vendor education and has already been through several versions. Software shall be upgradable in a cost effective manner as new features are made available. The vendor shall provide a mature software product specific to industrial pretreatment program management, tracking and reporting.

2. The software shall be configurable. The configurability requirement must allow SAWPA staff to make changes (application data, reports and forms) without requiring programming support from the vendor. This feature shall allow SAWPA to customize the software quickly and without additional cost for programming by the vendor.

3. The software shall be easy to use. "Easy-to-use" software is defined to promote and facilitate use while requiring less training for SAWPA pretreatment personnel. Ease of use shall promote efficiency in managing, reporting and distributing information between SAWPA and other associated staff, both internal and external to the SAWPA organization.

4. The software shall have industrial pretreatment market longevity. As long-term use is required, the vendor shall provide industrial pretreatment software upgrades and support necessary to keep the software current with existing and emerging federal, state and local pretreatment laws and regulations. SAWPA will work with a vendor who can demonstrate that the vendor will be in a position to provide future software upgrades that are consistent with trends in the industrial pretreatment market.

5. The software shall work within the existing SAWPA IT standards and environment. Any potential conflicts shall be noted and a description of how the conflicts will be overcome will be included with the Vendor's proposal.

2.02 SPECIFIC REQUIREMENTS OF SOFTWARE

A. Software Environment Requirements:

1. Web-based: Software shall have the ability for SAWPA, its contributing agencies, and other approved agencies, to use a browser application to login remotely for entry, editing, and/or viewing of information.

2. Software shall have the ability to export all data (permit, inspection, enforcement, sample, or other data) into various formats including MS Excel, MS Word, MS Access, DBF, PDF, DOS, CSV, SQL, and comma delimited files, at a minimum.

3. MS-SQL Server is the required environment for the software application.

4. Application data shall be capable of being backed up us ing existing SAWPA backup software and procedures on a daily basis.

5. Application development environment software shall be included with the software.

6. Software shall allow a minimum of fifteen (15) concurrent users, and be able to be increased in the future as needed without additional programming by the Vendor.

7. Software shall have ability to support wireless interfaces to Windows-based mobile devices (such as tablets, tough books, and lab notebooks) in the future should SAWPA wish to add this feature. The software shall have the minimum technical requirements for mobile devices to operate proficiently.

8. Software shall have the capability of importing and exporting contacts/vCards and calendar occasions.

B. Industry Management:

1. The software shall, as a minimum, be able to store, access, edit, and filter by permit the stored information, including but not limited to the following:

- Company Name
- Company Short/Other Name/Alias
- Company Mailing Address
- Company Sewer Address
- Company Physical Address
- Company Contact Name & Title
- Company Phone Number
- Company Contact Phone
- Company Contact Address
- Company Fax
- Company Contact E-Mail
- Zip Code
- 403 Authorized Rep Name
- 403 Authorized Rep Title
- 403 Authorized Rep Phone
- 403 Authorized Rep Email
- Delegated Auth Rep Name
- Delegated Auth Rep Title
- Delegated Auth Rep Phone
- Delegated Auth Rep Email
- Emergency Contact 1 (name, title, phone, email) (4 total)
- Emergency Contact 2 (name, title, phone, email) (4 total)
- Emergency Contact 3 (name, title, phone, email) (4 total)
- Emergency Contact 4 (name, title, phone, email) (4 total)
- 120 days application to permittee
- 90 days application to permittee
- 45 days draft permit due to SAWPA
- CC on emailing
- Designated Signatory
- Signatory Title
- Permit Number
- Permit Initial Issue Date
- Permit Issue Date
- Permit Expiration Date
- Permit Effective Date
- Permit Type/Classification
- Permit Termination Date
- Permit General Description
- Permit Termination Reason
- Permit Effective Date
- Permit Amended (Y/N)
- Agency
- Facility Type/Category
- Categorical CFR

- Facility Code
- Permit Inspector
- Local Sewering Agency
- Program Type
- Time(s) of discharge/day
- Annual operating days
- Raw materials
- Total water usage
- Flow Meter Ownership
- Flow Meter Calibration Frequency
- pH (Side Stream)
- Reclaimable water discharged Brine Line
- Approx flow per day of reclaimable water/approx. total flow
- pH Discharge Issue (Y/N)
- How Controlled
- Stormwater Discharge >150 SF
- Verified by Inspection
- Stormwater Comment
- Potential Stormwater Discharge
- NAICS Code
- Trunk Name
- Reach
- Time of operation/days
- Operating days/week
- Product/services
- Water source
- Number of sewer connections
- Reclaimable Water
- Stormwater
- Provisions for permitted flow
- Reclaimable Comment basis for statement
- Comment relative to flow
- Basis for pH discharge issue
- Self Monitoring
- Self Monitoring Schedule
- CA Monitoring Freq/Schedule
- CA Inspection Frequency
- Hauler – Permitted Generators

2. The software shall, as a minimum, be able to store, access, edit, and filter by permit the stored information for Liquid Waste Haulers, including but not limited to the following:

- General Information
- Name
  - Legal Name
  - Facility Name
- Owner(s) Name (Allow Multiples)
- Contact Name
- Contact Email
- Contact Phone
- Phone Number(s) (Allow Multiples)
- Vehicle Information (Tanker and tractor both and independently)
  - License Number/State
  - Vehicle Make
  - Vehicle Color
  - Tank Capacity (Gal)
  - Owner Truck Number
- Insurance Information
  - Expiration by type of coverage for up to 7 different coverages (provide reminders prior to expiration)
- Permit Info
  - Permit Number
  - Permit General Description

- Permit Type/Classification
- Permit Initial Issue Date
- Permit Issue Date
- Permit Expiration Date
- Termination Date
- Termination Reason

3. The software shall be able to store a minimum of ten (10) contacts with contact information per facility.
4. The software shall allow the user to re-issue permits and change permit numbers and/or names, while maintaining relationships to existing limits, samples, results, violations and history.
5. The software shall be able to store and access multiple outfalls and sample points, and associated parameter limits for the facilities, including QA/QC sampling (blanks, duplicates, etc) and special sampling requirements for SAWPA Site S-01 located at the Orange County line (entry point for wastewater to OCSD's system).
6. The software shall be able to store effective dates for all parameter limits, thereby insuring that changing a compliance parameter limit does not affect past sample results compliance limits.
7. The software shall be able to track multiple facilities per site and provide filtering capabilities on site identification.
8. The software shall have configurable Notes and Note Types per facility.
9. The software shall be able to store unlimited SIC, Federal Categorical and NAICS Codes per facility.
10. The software shall generate permits with real time data. Permit templates, as well as Permit Fact Sheet templates, shall be completely modifiable by user to meet users permitting needs. Multiple templates shall be stored for each permit section. Permit shall be automatically stored in MS Word and accessed through the software. The software shall have the ability to import and use existing permit templates.
11. The software shall provide validation routines to ensure all required fields are populated.
12. The software shall maintain historical information for all data fields.
13. The software shall maintain audit trail for certain critical data such as:
    - Facility Basic and/or Legal Name Change,
    - Facility Type,
    - Primary SIC Code,
    - Primary NAICS Code,
    - Permit Status Change,
    - Permit Versions/History,
    - Permit Limits,
    - Task Status Change,
    - Task Due Date Change,
    - Enforcement Response Due Date Change,
    - Enforcement Authorization History,
    - Database and Website System Version History,

- Case Manager History

C. Facility Discharge Limits and Requirements

1. User shall be able to enter basic information on sampling locations, including but not limited to:
    a. Location
    b. Description of sampling location
    c. Representative production flow base
    d. Designate the constituents that apply to the sample point
    e. Ability to have more than one sample point for one permit but a one to one relationship for each constituent
    f. Photo storage
    g. GPS location
2. User shall be able to enter special condition requirements with due dates and multiple due dates, including:
    a. Schedule follow-up inspections, samples, or submittals to verify task completion
    b. Ability to extend due dates for task completion
    c. Track all conditions, due dates and extensions
3. User shall be able to enter discharge limits for each sampling location as well as the following information:
    a. Maintain historical record of wastewater discharge limits for each sampling point
    b. Each sampling location shall have multiple choices of limits, federal and local, that apply to a particular constituent and the more stringent limit should be applied unless overridden by a combined waste stream and/or production based discharge limit value
    c. Support many limit types, both calculated and non- calculated, including instantaneous max, daily max, 4-day averages, thirty day average, monthly average concentration limits; daily max, 4-day averages, thirty day average, monthly average mass based limits; daily max, 4-day averages, thirty day average, monthly average production limits and/or combined waste stream limits
    d. Enter Federal Categories for facilities with zero-discharge
    e. Override standard limits for exceptional cases
    f. Results from specific analytes to compare against specific limits using specific and different criteria (e.g. TTOs, PCBs, Pesticides)
    g. Information relative to acceptable methods, special sample/hold times, preservatives, bottles, flags, analyst, RDIs/MDLs, Sample # (SAWPA's and Lab's), Lab name
4. User shall be able to manage self-monitoring reporting requirements on a sample location, including:

a. Track compliance at a pollutant level

b. Support various reporting intervals and due-dates per location per constituent

c. If the constituent reporting intervals are modified to be more restrictive the effective date for the new limits shall be able to manually be moved to first day of the month beyond 45 days of the constituent reporting intervals change notification

d. Support one-time requirements as well as recurring requirements

e. Special frequencies for enforcement schedules and special circumstances

f. Ability to distinguish pollutant groups and the ability to handle individual pollutants within each group, e.g., total metals and copper

5. User shall be able to enter non-discharge reporting requirements at both a facility level and sampling location level, e.g., meter reading, BMPs, progress reports and water bills, and shall be able to:

   a. Support various reporting intervals and due-dates per location

   b. Support one-time requirements as well as recurring requirements

6. Software shall support temporary or one-time limits and requirements due to enforcement actions.

7. Software shall track historical information, e.g., logging changes and authentication

8. Changes to limits and/or requirements shall be able to be reflected in new versions of permit documents and will present existing limits and future new limits and/or requirements.

9. Software shall generate tasks and notifications for staff based on permitting criteria.

D. Samples and Results:

1. The software shall have data trending capabilities based on Groups of Industries, Groups of Samples and Groups of Results. All data shall be exportable to the latest version of MS Excel and displayed in reports, which can be exported to the latest version of MS Word.

2. Collection method, sample flow, sample start and stop dates shall be stored on a result level as to give user the flexibility to accurately identify the sampling activity on a parameter level.

3. Software shall include Lab Data Entry capabilities which facilitate and increase the efficiency of the Laboratory Data entry process. Software shall have EDD/flat file input-output capability. The data import file format will be provided by the COTS software.

4. The software shall be able to compare single results to permit limits then notify user of a violation if the result is over the daily limit. The software shall allow the user to create a violation when a result is over the limit.

5. The software shall be able to compare required hold times against actual sample hold times.

6. The software shall be able to generate a configurable COC and sample bottle labels.

7. Software shall be able to import laboratory sample and result data from LIMS and Excel.

8. LIMS import process shall be flexible enough to address naming convention changes of parameters, collection methods and industry names.

9. Software shall be able to handle flow information entered separate from sample information. User shall be able to enter measured as well as adjusted flow values.

E. Compliance Calculations: The software shall have, as a minimum, the following capabilities:

1. Perform TRC and Chronic Significant Non-Compliance calculations and generate Significant Non-Compliance (SNC) Reports that comply with 40 CFR 403.

2. Allow the user to select whether to combine or keep separate outfall calculations. User must be able to calculate flow proportioned concentrations to check compliance for more than one outfall. For example, one compliance point is flow proportioned concentration from two outfalls; the software shall have the capability to calculate this flow proportioned concentration to check for compliance.

3. Allow the user to select all or individual parameters for compliance calculations. For example, if a single lab analysis tests for 45 constituents but only eight are needed for compliance calculations, the User shall be able to select and sum only the eight needed for the compliance calculation.

4. Calculate monthly and 4-day averages and compare against limits.

5. Calculate NC and SNC for scheduled events.

6. Automatically generate violations when calculating SNC Technical Review Criteria (TRC), SNC Chronic and monthly averages.

F. Grouping and Filtering:

1. The software shall be able to filter industries, samples, results, events and violations into groups to keep them organized. These groups will be customized and stored by the end user and presented in a manner, which facilitates reporting.

2. The software shall able to distinguish and filter by Control Authority and Self-monitoring data.

3. Groups of industries shall be savable and usable when viewing and creating events, violations, compliance reports, monthly reports, quarterly reports, semi-annual reports and annual reports, all with different formats.

4. The software shall be able to group industries by fields such as trunk line, industry classification, receiving plant, street and expiration date.

5. Users shall be able to view all results, events and violations based on facilities discharging to a particular trunk line or map category.

6. The software shall be able to schedule and track sampling events, inspections, reporting requirements, and Industrial Pretreatment Program (IPP) enforcement actions.

7. The software shall be able to automatically generate events based on frequencies set on an industry level as well as an event level, thus allowing a user to create a schedule of events for an entire year all at once.

8. Software shall notify users when events are due.

9. The software shall create to do lists and completed lists of events based on individual IPP personnel and groups of personnel.

10. The software shall have the ability to set a date range for information retrieval.

G. Automatic Task Scheduling: The software shall be capable of automatically scheduling the following activities:

1. Internal electronic notifications

   a. Use a calendar function, with user-defined rules, to check for tasks due, reports due, and violations that have occurred and report back to the user.

   b. Regular tasks shall be scheduled automatically.

   c. When automatically scheduling recurrent tasks, if the due date is a weekend or holiday, the due date shall be automatically moved to the next business day.

2. External reminders

   a. On predefined dates, the system shall automatically generate reminder notifications such as self-monitoring notifications, special conditions, contingency plans, no discharge, flow meter calibration, application reminders to send, due dates, among others

   b. Validate that notifications are created

      (1) Store electronic notifications on file server or database application

      (2) Ability to run report showing what notifications have been created

      (3) Ability to create paper output of reminder notifications

3. User shall be able to manually enter special condition requirements with due dates and multiple due dates, including:

   a. Schedule follow-up inspections, samples, or submittals to verify task completion

   b. Ability to extend due dates for task completion

   c. Track all conditions, due dates and extensions

H. Violations:

1. The software shall be able to generate NOV letters and other enforcement documents, including, but not limited to, warning letters, Administration Orders, and late notices, that may be customized by the end user without an additional reporting software package.

2. The software shall be able to store unlimited, customizable and savable templates for NOV letters and other enforcement documents.

3. A single NOV l etter shall be able to enforce multiple violation actions even if the violations occurred on different days.

4. The software shall track industry violations, IPP enforcement actions and all subsequent compliance actions. These activities shall be displayed in a single location providing the user with a single view to monitor and modify the violation escalation process.

5. Software shall give users the ability to instantly see a l ist of violations, which have not yet been enforced.

6. Software shall provide ability to enter other enforcement actions manually,

7. Software shall provide ability to enter reason for escalation or leniency for each violation.

8. Software shall have ability to close out violations, enter due dates, pre-enter timeliness information, as well as enter violation determination date and who determined.

I. Reporting:

1. Reports shall be customizable by the end user, and allow new reports to be created from existing reports. These reports shall then be stored as templates and used throughout the software. Customizing reports shall not require services by the vendor.

2. The software shall be capable of exporting data to Microsoft Excel and Microsoft Access, as a minimum.

3. The software shall be able to generate reports in Microsoft Word and Excel, as a minimum.

4. Sample types, inspection types, violation types and enforcement types shall be able to be selectable within the monthly, quarterly, semi-annual and annual report generation process. Selected items shall be counted and displayed on each report type.

5. Software shall give the user the ability to select which contact type or contact priority to send a letter to enabling the user to easily generate letters specific to the contacts for a given industry.

6. Software shall have the ability to merge current data with existing Microsoft Word documents, also known as Mail Merge.

J. Integrated FOG Management System:

1. Software shall have integrated yet separate views for managing FOG businesses.

2. Integrated Package shall track samples, results, and inspections for FOG users.

3. Filtering and Grouping capabilities shall include such fields as Trunk Line, Street Address, Map Category and Industry Classification.

K. LIMS and Self-Monitoring Interfaces. The software shall be able to do the following, as a minimum:

1. Accept approved sampling data from SAWPA's and other LIMS application

   a. Validate facility, sampling point, and pollutant data

   b. Respect data system primacy (LIMS or pretreatment software)

   c. Allow multiple test methods to be mapped to one pollutant

2. Provide a method/interface to enter self-monitoring results into the system by SAWPA staff

   a. Ability to pre-scheduled single day and multi-day self- monitoring

   b. Ability to process voluntary self-monitoring that is received on an ad hoc basis

   c. Ability upload supporting documentation by in-house staff

3. Provide the ability to enter self-monitoring sampling data via a secure configurable internet interface for SAWPA's agents

   a. Users

(1) Ability to upload supporting documentation by permittee
(2) Validate data against database fields
(3) Require complete submissions but flag failure to submit constituents

b. Laboratory
(1) Receive and process data from approved laboratories
(2) Ensure Chain of Custody documents are attached to each sample
(3) Validate data against database fields
(4) Eliminate duplicate report submissions
(5) Ability to mark a sample as submitted but incomplete and to code the sample using one or more choices of explanations pertaining to why it is incomplete

c. Reports for labs or clients who enter self-monitoring data through the Internet.
(1) Confirmation of submission
(2) Ability to review submitted data

4. Provide validation functions for all LIMS data entered manually
a. Validate all lab, facility, sampling point, and pollutant data against database to ensure that the results are rational and units are appropriate, and approved EPA test method
b. Populate each sampling location's pollutant list from appropriate reporting requirements in application. Allow addition and deletion of pollutants from that list
c. Populate results which are below detection limits from specified defaults
d. Require Chain-of-Custody and authorization forms to be included with submittal
e. Ability to mark a sample as submitted but incomplete and to code the sample using one or more choices of explanations pertaining to why it is incomplete
f. Interface should not allow agency provided lab data to be modified

5. Wastewater Analysis Report (WWAR) and Resample Requirement
a. Ability to generate a cover letter which will conditionally present the 30 day resample requirement if there was a violation in the analysis report
b. Provide the ability to generate a WWAR following a monitoring event
c. WWAR to report non-compliance of a discharge requirement
(1) If non-compliant, how intense is the violation
(2) Ability to generate resample records in LIMS and self-monitoring system

L. System Security: The software shall be able to perform the following tasks, as a minimum:
1. Authorize system users with at least two fields (username and password) or use Microsoft Windows Active Directory, and keep a log of all access attempts and successes.
2. Provide role-based security and restrict access to application features using the roles. Allow only authorized user roles to perform certain tasks (view, update, insert, delete) and

certain functions (permitting, enforcement, maintenance of application dictionaries) based on user role relative to the applications' functional segment, user interface, and/or data field within the functional segment/user interface. User interfaces include desktop computing, mobile computing, outward facing internet content, and printed output.

3. Have permission settings, adjustable by authorized users, to limit specific users' abilities to enter, edit and/or view data, to restrict one agency's ability to alter the data of another agency.

4. Administration of user roles shall be based on a service account that is not permitted to edit any data.

5. Provide secure screens for maintenance of application dictionaries to authorized Application Administration roles only.

6. Provide functionality to assign a single user to more than one role

7. Provide data logging of permit information and query tables

8. Provide mechanisms to update sampling event attributes from this system when the proper role is used. Corrections to sampling analysis results are only made within the Laboratory application and re-transferred to the pretreatment software to maintain integrity between the two systems.

9. Encrypt data defined and private and sensitive under State/Federal Law.

10. Require SSL encryption

11. Ensure data integrity. Updates of key fields should cascade to dependent records or be prevented.

12. Permit data shall not be deleted but shall be marked as inactive.

13. Shall be tested for SQL injection attacks

PART 3 - EXECUTION

3.01 GENERAL

A. The Vendor shall be responsible for the proper installation of all software components. Installation of software components and interconnections between software components and other SAWPA software/hardware shall be in accordance with and under the guidance of the SAWPA IT Department.

3.02 INSTALLATION & CONFIGURATION

A. Vendor shall install, configure, and implement, fully functional development, testing, training and production environments as part of this project, including all tasks required to support full integration into the SAWPA environment, as required by and in accordance with SAWPA staff direction. The integration may require additional software and/or hardware for a full installation and integration and the Vendor shall supply necessary software/hardware in accordance with SAWPA staff coordination and approval. Hardware shall support minimum internet connection upload and download speed of 10 Mbps. Vendor shall provide all necessary resources to successfully complete all tasks.

3.03 TESTING

A. A comprehensive formal testing process and test environment shall be developed by the Vendor and approved by SAWPA. Vendor shall develop a test plan for each component/module or system function establishing roles/responsibilities of team members for each test plan. Vendor shall develop and load test data into a test system and facilitate the completion of each test plan, coordinating efforts with the SAWPA Project Manager and the SAWPA Technical Lead. All testing procedures shall be produced by Vendor and approved by SAWPA no less than two weeks before testing begins.

B. Prior to moving the system into a production environment, Vendor shall remedy all known defects and install and test the most current available fix pack(s) and remedy all problems and deficiencies that may surface during that process. All tests will be performed on-site at SAWPA on standard computers and servers with SAWPA standard software and hardware.

C. Tests will not be considered complete until the SAWPA Project Manager and assigned personnel are satisfied that the software and data performed properly and are compliant with SAWPA standards. All training will be completed prior to go-live.

D. Upon successful completion of testing and written certification by the Vendor that the system is complete in all respects, and all known defects have been remedied by the Vendor, the system shall be prepared for live operations. Vendor shall provide on-site support during go-live for not less than five (5) consecutive days. If defects surface during that period, SAWPA reserves the right to require Vendor to remain on site until the system is stable and material defects have been remedied.

3.04 TRAINING

A. Training shall occur no later than three weeks prior to go-live operations. Vendor shall identify SAWPA staff member or Contributing Agency training based on role, responsibility, or project involvement. All training shall be on-site at SAWPA. Vendor shall also develop customized end user training and reference materials for SAWPA staff providing information and examples of SAWPA usage of the accepted solution.

3.05 APPLICATION & SYSTEM KNOWLEDGE TRANSFER

A. Vendor shall conduct periodic knowledge transfer sessions. These sessions shall be performed with the SAWPA Project Manager, SAWPA Application Manager, and assigned IT personnel present. The scope of these sessions shall include, but not be limited to, a review of all existing documentation, actual hands-on installation and setup of the software and tools being used for the project, setup of the data and application connections to the software and tools, a demonstration of how the software and tools work and how to maintain and upgrade the data. Vendor shall also provide a hands-on review of the installation of any custom applications, tools and steps relating to the installation.

3.06 DOCUMENTATION

A. All project-related documentation shall be updated and provided to SAWPA prior to training. Project documentation shall include, but not be limited to, hardware configuration, software

configuration, security administration, training manuals, collected data, installation procedures, and testing procedures.

B. All documentation shall be delivered in the form of electronic files. All project documentation deliverables shall be placed on CD- or DVD-ROM in their original format (i.e.: Word, Excel, PDF, etc.). All CD- or DVD-ROMs shall be created and tested on SAWPA computers for compatibility. The final documentation deliverable shall consist of a complete set of electronic user manuals and electronic system administration manuals. The manuals shall include, but not be limited to, the content of the knowledge transfer sessions, including a table of contents.

C. Contents shall include, but not be limited to:

1. System/Application configuration.
2. System/Application disaster recovery procedure.
3. System/Application installation process.
4. System/Application administration procedures.
5. System/Application security administration procedures.
6. System/Application workflow documentation.
7. System/Application test plans and test plan results.
8. All other documentation generated by other project tasks (i.e. status reports, logs, training materials)

3.07 FINAL SYSTEM ACCEPTANCE

A. Final System Acceptance will occur after all terms and conditions of the contract negotiated with the Vendor have been successfully completed, all User Acceptance testing has been successfully completed and the System has operated in a live environment for a ninety (90) day consecutive period without material Defects, the terms and conditions of which will be negotiated with the selected Vendor.

B. The Vendor shall provide and implement the fully functional software system such that the software is in fully functional and usable operation by all users on or before January 1, 2014.

C. Payment. Ten percent of the sum of bid items 1 through 4 will be withheld until the system is accepted as meeting all the requirements specified herein.

3.08 SUPPORT

A. If required, the Vendor shall develop a Business Resumption Plan and the Disaster Recovery Plan and provide Disaster Recovery, Business Interruption and Backup Support. The implementation plan shall identify and initiate purchase of any additional equipment and or maintenance agreements needed to fully implement the system(s) being developed by the project. All maintenance agreements on software and hardware shall be contracted directly between the Vendor and SAWPA.

COMMISSION MEMORANDUM NO. 8766

DATE: June 4, 2013

TO: SAWPA Commission

SUBJECT: Brine Line Vehicle Replacement

PREPARED BY: Carlos Quintero, Project Manager

RECOMMENDATION
It is recommended that the SAWPA Commission authorize the General Manager to replace the 2012 GMC Sierra Hybrid Pick-up Truck under the GMC Buy Back Program.

DISCUSSION
SAWPA purchased a 2012 GMC Sierra Hybrid Pick-up truck on March 14, 2012 from Dutton Motors in Riverside, California. The purchase price including sales tax was $44,603.65. The vehicle served as a field truck for Brine Line O&M activities.

Beginning in August 2012, the GMC Sierra began experiencing mechanical issues. The mileage on the vehicle at that point was 8,828. The GMC Sierra was taken to the dealership for service. In March 2013, additional problems were evident. A transmission burning smell was identified by SAWPA staff and the occurrence of the "Check Engine Light" became more frequent. During March and April 2013, the GMC Sierra spent significant time in the Dutton Motors service department. After realizing that the problems became more frequent and that the GMC Sierra Hybrid was not suited for heavy duty field work, Dutton Motors and GMC offered to take the vehicle back under their Buy Back program. This program avoids the cost of potentially lengthy and expensive litigation through the California Lemon Law.

Per the California Lemon Law, the owner of the vehicle is entitled to full compensation minus a percentage for depreciation. The depreciation percentage is calculated based on the mileage of the vehicle when it first encountered problems divided by 120,000. In this case, the expected depreciation percentage is 7.36 percent or approximately $3,000 (based on the Manufacturer's Suggested Retail Price of $41,196.65).

If the replacement vehicle costs less than the refunded amount from the manufacturer, SAWPA will receive a check for the difference. Alternatively, SAWPA would pay for the difference if the replacement vehicle costs more than the amount refunded for the GMC Sierra. To avoid further down time and use of a rental vehicle, staff intends to initiate the vehicle replacement immediately upon Commission approval.

RESOURCE IMPACTS
None, provided that the value of the replacement vehicle is less than the amount refunded by GMC.

CQ:pb

CM#8766 2012 GMC Replacement

SAWPA Commission
Regular Meeting Minutes May 21, 2013

COMMISSION MEMBERS PRESENT	REPRESENTING
Phil Anthony, Chair	Orange County Water District
Don Galleano	Western Municipal Water District
Ron Sullivan	Eastern Municipal Water District
Mark Bulot	San Bernardino Valley MWD
Terry Catlin	Inland Empire Utilities Agency

OTHERS PRESENT	
Harry Sidhu	Orange County Water District
Ed Killgore	San Bernardino Valley MWD
P. "Ravi" Ravishanker	Eastern Municipal Water District
Jack Simes	Bureau of Reclamation
Tom Nichols	Bureau of Reclamation (via telephone conference call)
Michael Wehner	Orange County Water District
Don Lee	Tetra Tech
Paul Rugge	WMWD
Michelle Underwood	WMWD
Derek Kawaii	WMWD
Tom Gaworski	OCSD
Celeste Cantú	SAWPA
Rich Haller	SAWPA
Larry McKenney	SAWPA
Mark Norton	SAWPA
Jeff Beehler	SAWPA
Rick Whetsel	SAWPA
Karen Williams	SAWPA
Carlos Quintero	SAWPA
Dean Unger	SAWPA
Patti Bonawitz	SAWPA

The Commission Meeting of the Santa Ana Watershed Project Authority was called to order at 9:32 a.m. by Chair Phil Anthony at the Santa Ana Watershed Project Authority Office, 11615 Sterling Avenue, Riverside, CA 92503. Commissioner Bulot led the flag salute. Chair Anthony requested a roll call. A quorum was present with representation from all five member agencies (SBVMWD, OCWD, WMWD, IEUA, and EMWD). No public comments were received.

NEW BUSINESS

INLAND EMPIRE BRINE LINE TSS FORMATION BILLING FORMULA (CM#8748)

Rich Haller proposed that the TSS formation billing formula be a monthly target amount of 62,000 lbs/month effective with the April invoices. The TSS formation monthly average was 62,330 lbs/month during the past 12 months. The BOD number has gone down and is reflected in the invoices.

Discussion ensued regarding the impacts of a seasonal cycle (i.e., appears the summer trend is higher), pulling a lot of solids out of the line (i.e., any dumping into the system more than normal – nothing abnormal), and the revenue stream impacts. Rich Haller stated that our goal is to match the invoice coming in from OCSD.

Upon motion by Commissioner Catlin, seconded by Commissioner Galleano, the motion unanimously carried:

13-05-01
MOVED, approval and adopted a (reduced) monthly target TSS formation (TSS_f) amount of 62,000 lbs/month in the Inland Empire Brine Line TSS Formation Billing Formula, effective with the April 2013 invoices.

INLAND EMPIRE BRINE LINE RATE RESOLUTION (CM#8750)

Rich Haller reviewed the Brine Line rate resolution proposed for FY 2013, which was based on the financial model developed by FCS Group in 2010. The proposed Brine Line rates will provide the revenue to pay expected costs for brine treatment, pipeline operations, maintenance and repair including SAWPA's share of costs in Orange County, capital repair costs including SAWPA's share of costs in Orange County, and repayment of outstanding debts.

Upon motion by Commissioner Bulot, seconded by Commissioner Sullivan, the motion unanimously carried:

13-05-02
MOVED, approval and adopted Resolution No. 2013-05, establishing the new Inland Empire Brine Line rates to be effective July 1, 2013.

RESOLUTION NO. 2013-05
A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY ESTABLISHING THE RATES (FOR THE TREATMENT AND DISPOSAL OF NON-RECLAIMABLE WASTEWATER, TEMPORARY DOMESTIC WASTEWATER, COLLECTION STATION DISCHARGES, AND CHARGES FOR SUSPENDED SOLIDS AND BIOCHEMICAL OXYGEN DEMAND) IN THE INLAND EMPIRE BRINE LINE (for full text, see Resolution Book**).**

REQUEST FOR PROPOSAL FOR ON-CALL BRINE LINE CLEANING SERVICES (CM#8762)

Carlos Quintero reviewed the scope of work for the two RFPs for line cleaning services in Reach 4B Upper and for continued on-call quarterly cleaning of the siphons (estimated 200 hours of line cleaning/traffic control/sonar inspection). The engineer's estimate was $330,000 (Reach 4B Upper - $200,000 and On-Call Line Cleaning [Siphons] - $130,000). Pending Commission approval, the RFP would be issued today and proposals would be due on June 12. Staff will bring forth a recommendation on June 18, which would be effective July 1, 2013. Discussion briefly ensued regarding the number of proposals anticipated to be received (possibly four or five), any sensitive areas (no), and on-call services being paid hourly/as needed.

Upon motion by Commissioner Catlin, seconded by Commissioner Sullivan, the motion unanimously carried:

13-05-03
MOVED, approval and authorized the General Manager to issue a Request for Proposals for On-Call Line Cleaning Services.

UPDATED MIDDLE SANTA ANA RIVER TMDL TASK FORCE AGREEMENT (CM#8756)

Rick Whetsel recommended the Commission's approval of the updated Middle Santa Ana River Watershed TMDL Task Force Agreement. There are no costs to SAWPA, and the updated Agreement contains very minimal changes with the same stakeholders. Every stakeholder is up-to-date on their membership fees.

Upon motion by Commissioner Sullivan, seconded by Commissioner Bulot, the motion unanimously carried:

13-05-04
MOVED, approval of the updated Middle Santa Ana River Watershed TMDL Task Force Agreement.

FYE 2014 AND 2015 BUDGET (CM#8757)

Karen Williams highlighted the FYE 2014-15 Budget stating that nothing has changed since last month's budget presentation. All the member agencies' CFOs have provided input and are comfortable with the SAWPA Budget as proposed. Discussion briefly ensued regarding the continued funding of LESJWA through 2015; the five percent labor assumption used for budgeting purposes only includes COLA, merit, and any staffing salary changes; and the efforts/benefits of the Santa Ana River Sucker Workgroup. Celeste Cantú offered to provide the Commission a presentation on the Workgroup's efforts and benefits. Chair Anthony and Commissioner Bulot commented that SAWPA is the facilitator of this Workgroup and their efforts are beneficial to water interests both upstream and downstream.

Upon motion by Commissioner Bulot, seconded by Commissioner Sullivan, the motion unanimously carried:

13-05-05
MOVED, approval and adopted the FYE 2014 and FYE 2015 Budget as presented, and direct each member agency to:

1. Notice the consideration of the FYE 2014/15 SAWPA Budget on their next Board of Directors Meeting agenda; and
2. Approve by Board Resolution (pursuant to the Joint Powers Authority Agreement) the FYE 2014/15 SAWPA Budget.

Chair Anthony complimented Karen Williams on a good budget presentation.

AUDIT SERVICES FYE 2013, 2014, AND 2015 (CM#8758)

Karen Williams stated that the Commission authorized the issuance of an RFP for audit services on March 19. Staff sent 15 proposals and received back three. IEUA is using White Nelson Diehl Evans LLP and is very happy with their audit services. The three fee proposals included:

Proposers	FYE 2013	FYE 2014	FYE 2015
White Nelson Diehl Evans LLP	$30,600	$30,600	$30,600
Charles Z. Fedak & Company	33,700	35,050	36,400
Vanrinek, Trine, Day & Co., LLP	33,990	33,990	33,990

(Total cost includes SAWPA Audit, State Controller's Report, Single Audit, and LESJWA Audit.)

There is sufficient money in the budget ($45,000) and the White Nelson Diehl Evans LLP proposal is well within the budget. Discussion briefly ensued regarding why so few responses were received. Karen Williams commented that all proposers were located in the watershed as requested by the Commission. She received a few back due to incorrect addresses.

Upon motion by Commissioner Catlin, seconded by Chair Anthony, the motion unanimously carried:

13-05-06
MOVED, approval and awarded a contract for audit services for FYE 2013, 2014, and 2015 to the lowest bidder, White Nelson Diehl Evans LLP.

OLD BUSINESS

None

CONSENT CALENDAR

Upon motion by Commissioner Galleano, seconded by Commissioner Catlin, the motion unanimously carried:

13-05-07
MOVED, approval of the Consent Calendar.

A. The Commission approved the Minutes from the meeting held on 4-16-13.
B. The Commission approved the Treasurer's Report as mailed.
C. The Commission received and filed the memo on Disposal of Surplus Property (CM#8755)

INFORMATIONAL REPORTS
The following oral and written reports/presentations were received and filed.

A. Brine Line to the Salton Sea (CM#8760)
Jeff Beehler said that the Executive Summary contains a list of options; levels of uncertainty that the Commission should consider before conducting a feasibility study. He introduced the Bureau of Reclamation representatives Tom Nichols, who joined the meeting via telephone conference, and Jack Simes. Tom Nichols presented the Bureau's Executive Summary/Final Report on the *Inland Empire Brine Line Appraisal Analysis.* The appraisal analysis of Option 4 was identified by CDM in the salt management program a few years ago. The Bureau did an in-depth study of this option and concluded the following recommendations:

1. Positive economic impact analysis could be quite large along the project corridor. The most important component of this study is the economic impact analysis. The number one priority – do economic impact analysis – Inland Empire Interceptor.
2. Salton Sea Water Budget – develop or update the existing water budget for the Salton Sea.
3. Salton Sea Salinity and Water Quality Models – develop or update the existing models for salinity and water quality in the Salton Sea.
4. Recommendation Nos. 7-13 – also relate to the Salton Sea and water quality regulatory requirements.

Project Overview
- The total length of the proposed project is 120 miles.
- Flows in 2060 could be within the Santa Ana River Watershed at 32 million gal/day, which is the same number that CDM projected. The Bureau of Reclamation looked at the potential flows from the pass/Coachella Valley area and identified another 40 million gals/day in 2060.
- There could be five square miles of ponds and treatment facilities.

Discussion ensued regarding the projected cost components.

The SAWPA/Coachella Valley Water District Joint Boards meeting is scheduled for May 30, at Coachella Valley Water District, 75515 Hovley Lane East, in Palm Desert. Discussion ensued regarding water quality regulatory requirements being the starting point of discussions at the joint meeting, economic feasibility, and O&M costs. Chair Anthony stated that he would be unable to attend the joint Boards meeting.

Celeste Cantú encouraged the Commission to digest the information the Bureau had just presented and that staff will continue to explore this topic. Discussion further ensued regarding the process of vetting this out and moving forward. Celeste Cantú commented that staff could set-up various meetings with potential partners to discuss the economic benefits and how these benefits could into potential partners, and AB 71 impacts, which could affect the Salton Sea Authority.

B. Pretreatment Program Status Update
Rich Haller reported that on May 20, staff cleared the entry gate, but additional information still is required. SAWPA/OCSD staffs had a good progress meeting and received the program documents that define their pre-treatment program requirements. Staff is reassessing the remedial plan – assessing what this document means and what are the requirements. OCSD reassigned their local limits, which could impact SAWPA's rates significantly. Regarding recruitment of the manager of permitting and pretreatment, SAWPA has received some good candidates for the position. Gate 9 involves best management practices and staff is making good progress.

C. OWOW Conference Update
Celeste Cantú said that the conference was successful; however, conference expenses were considerably higher being that it was in Orange County.

D. Legislative Report
Discussion ensued regarding some water quality themes that are developing in current legislation: the Delta and next steps; the Water Bond 2014, which is in the process of being amended and currently is in a state of flux; Mutual water companies possibly being placed under the umbrella of municipal water districts; house of origin bills need to be voted on by the end of May, and that a number of watershed water agencies spent a lot of energy on SB 658. OCWD has offered amendments that are not in print just yet.

E. Cash Transactions Report – March 2013

F. Inter-Fund Borrowing – March 2013 (CM#8761)

G. Performance Indicators/Financial Reporting –March 2013 (CM#8759)

H. Third Quarter FYE 2013 Expense Reports

I. Budget vs. Actual Variance Report – FYE 2013 Second Quarter (CM#8652)

J. Financial Report for the Second Quarter ending December 31, 2012

K. General Manager's Report

L. Chair's Comments/Report

M. Commissioners' Comments

CLOSED SESSION

The Commission convened into Closed Session at 10:50 a.m. pursuant to Government Code Section 54956.9 (a) Conference with Legal Counsel – Existing Litigation: 1) Castle and Cook, Case No. RIC 495874; and 2) OCSD Arbitration. The Commission reconvened into Open Session at 11:20 a.m. and took no reportable action.

There being no further business for review, Chair Anthony adjourned the meeting at 11:20 a.m.

APPROVED:
June 4, 2013

_____
Phil Anthony, Chair

Santa Ana Watershed Project Authority

SAWPA

Finance Department

Santa Ana Watershed Project Authority

TREASURER'S REPORT

April 2013

During the month of April 2013, the Agency's actively managed temporary idle cash earned a return of 1.284%, representing interest earnings of $4,653. Additionally, the Agency's position in overnight funds L.A.I.F. and CalTRUST generated $8,169 and $1,163 in interest, resulting in $13,985 of interest income from all sources. Please note that this data represents monthly earnings only, and does not indicate actual interest received. There was one (1) investment positions purchased, zero (0) positions sold, zero (0) positions matured, and zero (0) positions were called.

This Treasurer's Report is in compliance with SAWPA's Statement of Investment Policy. Based upon the liquidity of the Agency's investments, this report demonstrates the ability to meet customary expenditures during the next six months.

May 16, 2013

Prepared and Submitted by:

Karen L. Williams, Chief Financial Officer

Santa Ana Watershed Project Authority

INVESTMENT PORTFOLIO - MARKED TO MARKET - UNREALIZED GAINS & LOSSES

April 30, 2013

SAWPA primarily maintains a "Buy and Hold" investment philosophy, with all investments held by the Citizens Business Bank via a third-party safekeeping contract.

Investment Type	Security Type	CUSIP	Dealer	Purchase Date	Maturity Date	Call Date (if appl)	Par Value	Yield To Maturity	Investment Cost	Market Value Current Month	Unrealized Gain / (Loss)	Coupon Rate		Interest Earned
Agency	FHLB	3133XWX95	WMS	03-29-11	03-13-15	No Call	$500,000	1.880%	516,505.00	523,060.00	6,555.00	2.750%	$	772.60
Agency	FNMA	3135GOHN6	WMS	04-25-12	02-27-15	02-27-14	$500,000	0.55%	500,000.00	501,525.00	1,525.00	0.550%	$	226.01
Agency	FNMA	3136G1CS6	MS	03-08-13	02-14-18	02-14-14	$1,000,000	1.224%	1,000,000.00	1,004,260.00	4,260.00	1.750%	$	1,006.19
Agency	FNMA	3136G1GP8	MS	03-27-13	03-27-18	03-27-15	$1,000,000	1.000%	1,000,000.00	1,003,910.00	3,910.00	1.000%	$	821.92
Agency	USTN	912828NP1	WMS	01-26-11	07-31-15	No Call	$500,000	1.786%	499,218.75	516,875.00	17,656.25	1.750%	$	734.04
CD	Discover	254671KV1	WMS	03-06-13	03-06-17	No Call	$250,000	0.950%	250,000.00	252,437.46	2,437.46	0.950%	$	195.21
CD	GSB	38147JAU6	WMS	03-06-13	03-07-16	No Call	$250,000	0.950%	250,000.00	251,625.53	1,625.53	0.750%	$	195.21
CD	AEC	02587DMM7	WMS	03-07-13	03-07-16	No Call	$250,000	0.750%	250,000.00	250,000.00	-	0.750%	$	154.11
CD	BMW Bank	05568P2V8	TVI	03-08-13	03-08-17	No Call	$248,000	0.850%	248,000.00	249,444.85	1,444.85	0.850%	$	173.26
CD	Key Bank	49306SRH1	WMS	03-13-13	03-13-15	No Call	$250,000	0.450%	250,000.00	250,059.08	59.08	0.450%	$	92.47
CD	Ally Bank	02005QV75	WMS	04-17-13	04-18-16	No Call	$250,000	0.650%	250,000.00	250,000.00	-	0.650%	$	57.88
CD	CIT Bank	17284A6P8	TVI	03-13-13	03-13-18	No Call	$248,000	1.100%	250,000.00	250,065.68	65.68	1.100%	$	224.22
Total Actively Invested Funds							**$5,246,000**		**$ 5,263,723.75**	**$ 5,303,262.60**	**$ 39,538.85**	**1.284%**	**$**	**4,653.10**
Total Local Agency Investment Fund									$37,647,074.06			0.264%		8,168.90
Total CalTRUST Investment Fund									$2,112,082.97			0.670%		1,163.09
Total Invested Cash							**$5,246,000**		**$ 45,022,880.78**			**0.399%**	**$**	**13,985.09**

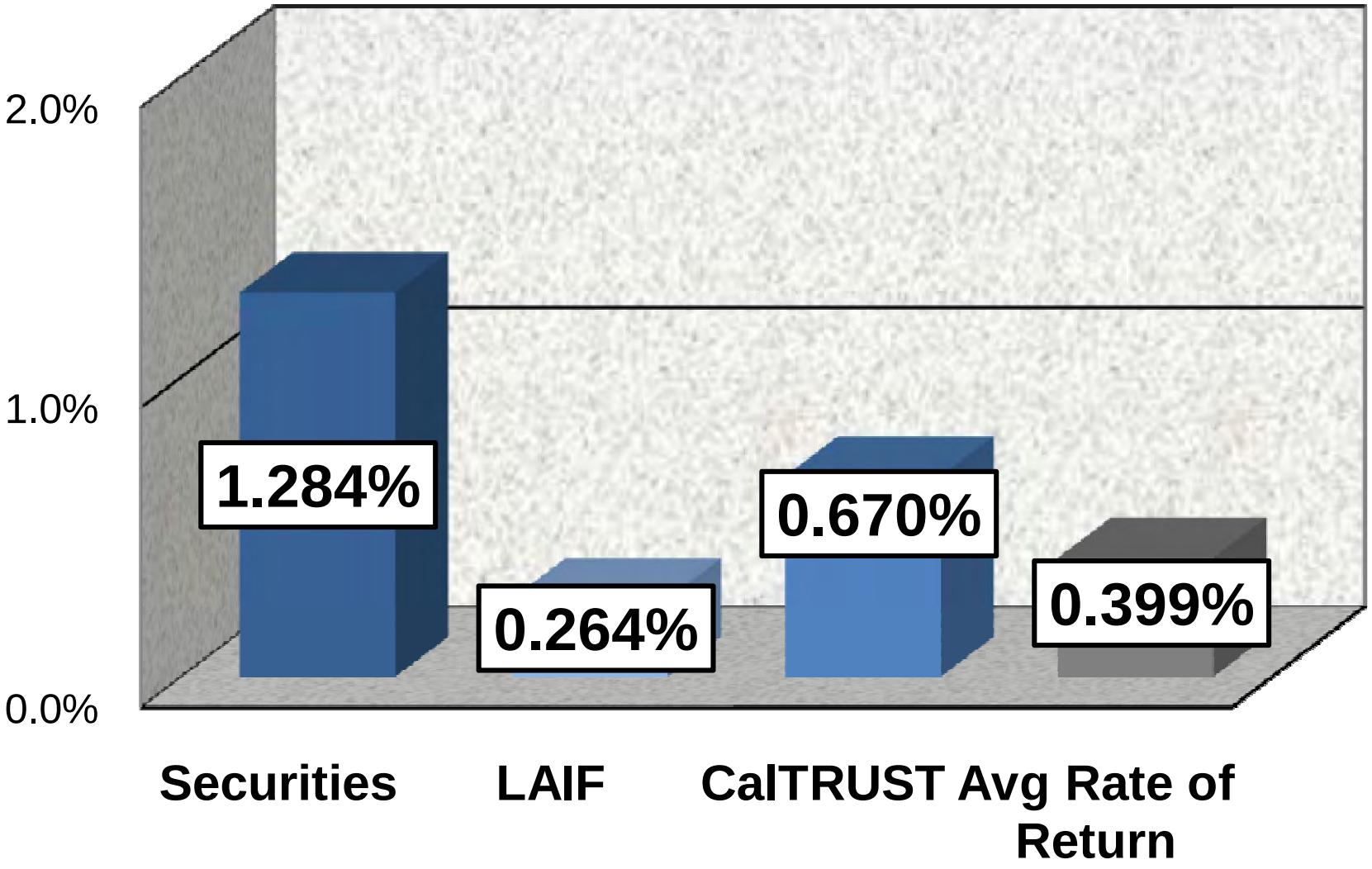
Key to Security Type:

FHLB	=	Federal Home Loan Bank
FHLMC	=	Federal Home Loan Mortgage Corporation
FNMA	=	Federal National Mortgage Association
CORP	=	Corporate Note
CD	=	Certificate of Deposit
GDB	=	Goldman Sachs Bank
AEC	=	American Express Centurion

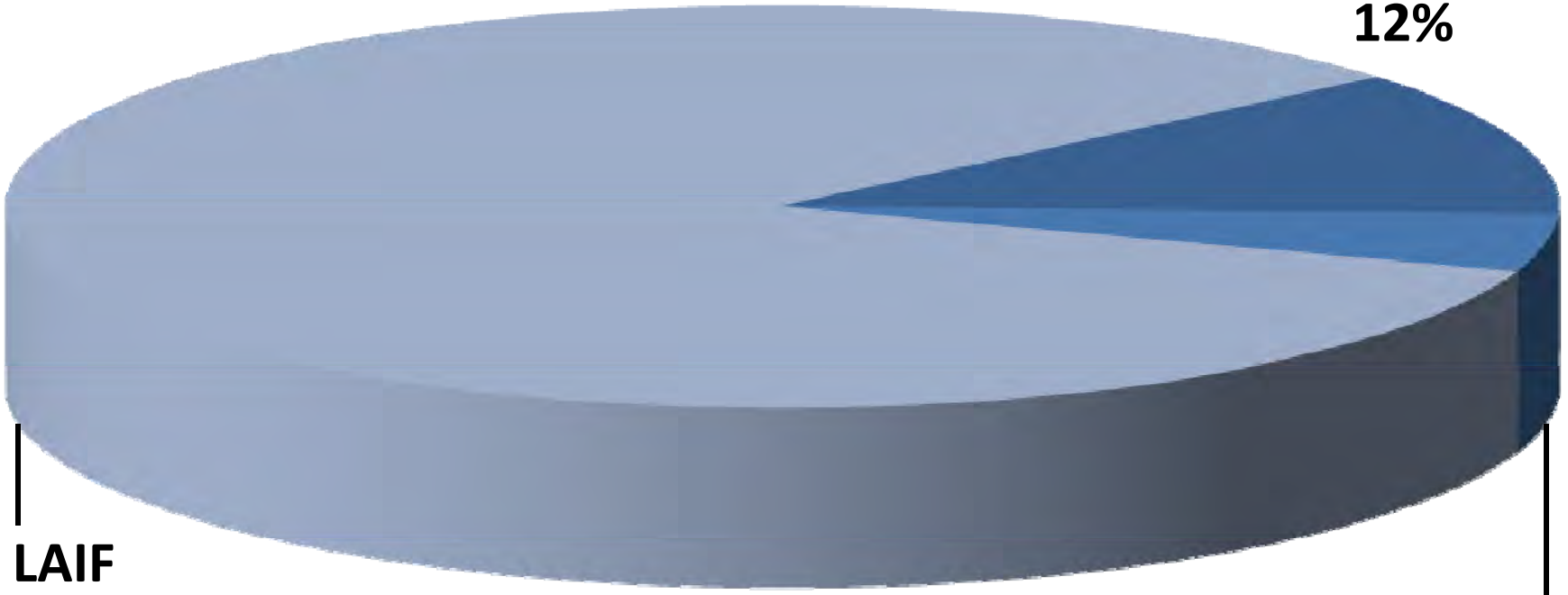
Key to Dealers:

FCS	=	FinaCorp Securities
MBS	=	Multi-Bank Securities
MS	=	Mutual Securities
RCB	=	RBC Dain Rauscher
SA	=	Securities America
TVI	=	Time Value Investments
WMS	=	Wedbush Morgan Securities

Interest Rate Analysis



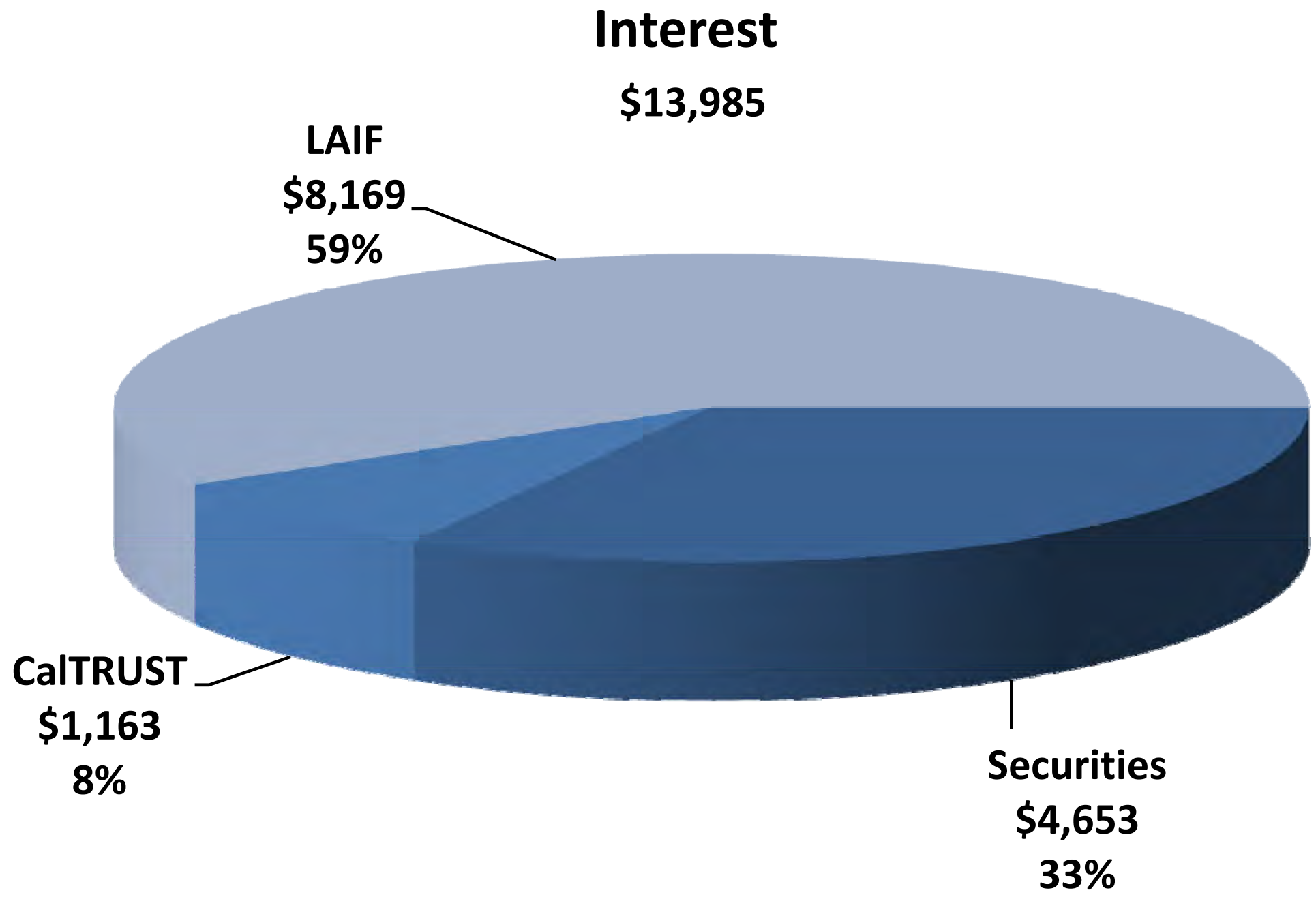
Investments
$45,022,881



Securities
$5,263,724
12%

LAIF
$37,647,074
83%

CalTRUST
$2,112,083
5%


Interest
$13,985
LAIF
$8,169
59%
CalTRUST
$1,163
8%
Securities
$4,653
33%